

**YOU DO NOT NEED TO RETURN THIS SHEET TO US. INSTEAD USE IT TO CALCULATE THE
REQUIRED FIGURE(S) TO BE REPORTED FOR FEE BLOCK A009**

Firm name:	Firm no:
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FEE-BLOCK A009: Managers and depositaries of investment funds, and operators of collective investment schemes or pension schemes

1. FCA DATA

Valued at the most recent financial year ended on or before 31 December 2017 (in GBP)	£
1. For AIFMs (excluding internally managed AIFs), management companies, operators (including ACDs and managers of unit trusts or authorised contractual schemes but excluding operators of a personal pension scheme or a stakeholder pension scheme) and residual CIS operators.	
A. Gross income The amount of the annual charge on investments in the fund received or receivable in the latest accounting period.	'000
B. PLUS front-end or exit charges (any charges levied on sales or redemptions of <i>collective investment schemes</i>) in the latest accounting period and any amount the firm would have levied as such a charge but for a business decision to waive, discount or rebate etc. that charge	'000
C. PLUS Initial & management charges (any additional initial or management charges levied through a product wrapper such as PEP or an ISA)	'000
TOTAL GROSS INCOME (A+B+C)	'000
Confirm above figure in words	
<i>Note: Income from box management profits should be excluded</i>	
2. For Depositaries (including trustees of collective investment schemes and ICVC or ACS Depositaries).	
TOTAL GROSS INCOME (in GBP) (The amount of the annual charge levied on investments in funds which you act as a depositary)	'000
Confirm above figure in words	
3. For Operators of a personal pension scheme or a stakeholder pension scheme	
TOTAL GROSS INCOME (in GBP) (The amount of the charges levied on the <i>personal pension scheme</i> for which you act as <i>operator</i>) • including up-front charges, fund related charges, transaction related charges and periodic charges; but • excluding charges made to an investor in respect of third party suppliers;	'000
Confirm above figure in words	

Note:

Only the gross income corresponding to *United Kingdom* business is relevant.
Internally managed AIFs must use a proxy for gross income for the activities relating to fee block A9.
This is the total value of funds under management (as defined in fee block A7) multiplied by 0.01.

2.FOS DATA

	£
Relevant adjusted annual gross income (see FEES 5 Annex 1R) – reported in GBP	'000
Confirm above figure in words	0