

## FEES AND LEVIES 2018/19– REQUEST FOR FEE-TARIFF DATA

### Fee-blocks A004 and I004

<b>FCA/PRA</b>	<b>Tariff base</b>	<b>Gross written premium (GWP) and Best estimate liabilities (BEL)</b>
<b>FCA/PRA</b>	<b>Validation date</b>	<b>For financial year ended in the calendar year ending 31 December 2017</b>

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA), Prudential Regulation Authority (PRA), Money Advice Services (MAS), Pension Guidance Service (PGS) and the Financial Ombudsman Service (FOS). We provide separate guidance for data relevant to the [FSCS levies](#).

This guidance sheet provides details on the data to include within the firm's fee tariff data submission. We have given links to relevant parts of our Handbook to help you submit the fee tariff data correctly. This sheet covers the following fee-blocks:

- **FCA fee-block A004**
- **FOS industry block I004**

For more information on this fee block, including which regulated activities place firms into the above fee-blocks, please refer to our fees manual ([FEES](#)).

Each year we consult on any proposed fee policy changes and fee-blocks and fee tariff bases may be subject to change. So before submitting the fee tariff data you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when any changes will be implemented.

We are consulting [CP17-38](#) on changing our tariff bases for insurers. We are proposing to use the same tariff bases and data that PRA will use for their 2018/19 fees. For FOS levies we are proposing to use PRA tariff base Gross Written Premiums. For more information on PRA fees for insurers please see the [latest PRA publications](#).

UK insurers will not be required to submit data to the FCA as this data will be collected by PRA from their own regulatory returns. We will continue to collect data from EEA branches.

EEA branches must report on the same basis as a UK Solvency II insurer, using the data as reported to their Home State regulator.

#### **FCA/PRA fee-block: A004 – life insurers**

**Tariff base - Gross written premium (GWP) and Best estimate liabilities (BEL) for your financial year ended in 2017**

#### **FOS industry block: I004 – life insurers**

**Tariff base - Gross written premium (GWP)**

<b>e-mail:</b> <a href="mailto:feetariffreturns@fca.org.uk">feetariffreturns@fca.org.uk</a>	<b>Tel:</b> 0300 500 0597	<b>Mail:</b> FCA - Revenue Dept. Tariff Data Collection 25 The North Colonnade Canary Wharf London E14 5HS
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### IMPORTANT

EEA firms registered for online invoicing must submit the data on line; any completed paper forms should be emailed to [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) before the date shown on the front of your fee tariff data letter.

#### Before submission please ensure:

- FCA and FOS tariff data have been completed in whole numbers and are in GBP
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, e.g. compliance director, before submitting to us

e-mail: <a href="mailto:feetariffreturns@fca.org.uk">feetariffreturns@fca.org.uk</a>	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 25 The North Colonnade Canary Wharf London E14 5HS
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