

**Fee-blocks A019 and I017**

<b>FCA</b>	<b>Tariff base</b>	<b>Annual income - general insurance mediation</b>
<b>FOS</b>	<b>Tariff base</b>	<b>Relevant Annual Income - general insurance mediation</b>
<b>FCA/FOS</b>	<b>Valuation date</b>	<b>For financial year ended in the calendar year ending 31 December 2016</b>

We need fees and levies information to calculate the fees firms pay for the Financial Conduct Authority (FCA) Money Advice Services (MAS) and the Financial Ombudsman Service (FOS). We provide Separate guidance for data relevant to the [FSCS levies](#)

- FCA fee-block A019
- FOS industry block I017

For more information on this fee block, including which regulated activities permissions place firms into the above fee-blocks, please refer to the Fees manual ([FEES](#)) of our Handbook,

FCA and FOS fee tariff bases are subject to change during the year because we consult on our fees rules. So before completing the forms, you should also refer to the [latest publications](#) on fees on our website. These will explain any proposed changes to the tariff data you must report, and the expected date when we implement any changes.

For [FSCS guidance](#), publication and further information on fees and levies please visit our fees website:

**FCA fee-block: A019 – general insurance mediation**

**Tariff base - annual income for your financial year ended in 2016.**

Annual income is defined in [FEES 4 Annex 11AR](#); guidance is set out in [FEES 4 Annex 13G](#)

For the purposes of calculating annual income for fee-block A.19, also include the following:  
 (g) in relation to any activities set out in (a) for any *insurance mediation activity* carried out by the *firm* for which it receives payment from the *insurer* on a basis other than that in (a), the amount of *premiums* receivable on its *contracts of insurance* multiplied by 0.07;  
 PLUS:  
 (h) if the *firm* is an *insurer* in relation to the activities set out in (a), the amount of *premiums* receivable on its *contracts of insurance* multiplied by 0.07, excluding those *contracts of insurance* which:

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## FEES AND LEVIES 2017/18– REQUEST FOR FEE-TARIFF DATA

- result from *insurance mediation activity* by another *firm*, where payment has been made by the *insurer* to the *firm* under (a); or
- are not *general insurance contracts* or *pure protection contracts*.

AND

(i) for the purposes of calculating annual income for fee-block A.19:

- the provision in the UK of the *regulated activities* specified in FEES 4 Annex 1AR Part 1 as belonging to the relevant fee block includes the provision of activities that would have been *insurance mediation activity* in relation to *general insurance contracts* or *pure protection contracts* if they had been carried on after 13 January 2005 or, in relation to *connected travel insurance contracts*, from 1 January 2009;

- a reference to a "*firm*" includes a reference to any *person*, including a *connected*

*travel insurance intermediary*, who carried on activities which would be *insurance mediation activity* (in respect of *general insurance contracts* or *pure protection contracts*) if they had been carried on after 13 January 2005 or, in relation to *connected travel insurance contracts*, from 1 January 2009. Guidance on the interpretation of this definition is presented in [FEES 4 Annex 13 G](#).

### **FOS industry block I017 – general insurance mediation**

#### **Tariff base - relevant annual income for your financial year ended in 2016**

The FOS levy is based on business done with consumers who are eligible complainants. We define an 'eligible complainant' under [DISP 2/7](#) of our Handbook - If the firm's entire insurance mediation business is carried on with consumers, then the data you report here will be the same as that reported under fee-block A.19. A firm should not report data of [relevant business if it deals only with eligible complainants](#) who are not consumers as per

If the firm has a valid FOS exemption as notified on the fee tariff data form no data is required.

#### **IMPORTANT**

**You must return the information to our Revenue Operations department by the date shown on the front of your letter. Return paper forms by post or email via the contact details below or submit the data on line.**

#### **Before submission please ensure:**

- FCA and FOS tariff data have been completed and are in British £
- You have completed and totalled all sections.
- Do not leave blanks (enter 'NIL' on the paper form or alternatively '0' when submitting on line where applicable).
- The tariff data form has been signed off at the appropriate level of seniority, e.g. compliance director, before it is submitted to us.
- EEA BRANCHES ONLY – If your firm is not conducting any regulated activities in the UK that place your firm in this fee-block, please indicate this when returning your paper form or if submitting the form on line you must email [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) stating which of

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these are not applicable. We will update your online form to reflect this so you can submit your return.

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