

Fee tariff data

Regulatory fees and levies 2020/2021

Fee-blocks A019 and I017

FCA	Tariff base	Annual income - general insurance distribution
FOS	Tariff base	Relevant annual income – general insurance distribution
FCA/FOS	Valuation date	For financial year ended in the calendar year ending 31 December 2019

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA), Financial Guidance Levy (FGL) and the Financial Ombudsman Service (FOS). We provide separate guidance for data relevant to the [FSCS levies](#).

This guidance sheet provides details on the data to include within the firm's fee tariff data submission. We have given links to relevant parts of our Handbook to help you complete the form correctly. This sheet covers the following fee-blocks:

- **FCA fee-block A019**
- **FOS industry block I017**

For more information on this fee block, including which regulated activities place firms into fee-blocks, please refer to the fees manual [\(FEES\)](#).

Each year we consult on any proposed fee policy changes and fee tariff bases may be subject to change. So before submitting the fee tariff data, you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when any changes will be implemented.

For FSCS guidance, publication and further information on fees and levies, visit our [fees webpages](#).

Notes:

Your firm is allocated to FSCS class 1 category 1, general insurance distribution (CLGID-1), which replaced FSCS class SB02. The levy for this category continues to be based on annual eligible income, but with a change to reporting pure protection business. See the guidance for [FSCS category CLGID-1](#).

FEES AND LEVIES 2020/21– REQUEST FOR FEE-TARIFF DATA

FCA fee-block: A019 – general insurance distribution

Tariff base: annual income for your financial year ended in 2019.

Annual income for all relevant fee-blocks is defined in [FEES 4 Annex 11AR](#); please see items (g) to (i) for specific definitions to calculate annual income for fee-block A.19. Guidance is set out in [FEES 4 Annex 13G](#).

FOS industry block I017: general insurance distribution

Tariff base: relevant annual income for your financial year ended in 2019

The FOS levy is based on business done with consumers and which is subject to the jurisdiction of the Financial Ombudsman Service (see [DISP 2.3](#)). Firms should only include income in relation to consumers. If the firm's entire insurance distribution business is carried on with consumers, then the data you report here will be the same as that reported under fee-block A.19.

If the firm has a valid FOS exemption no data is required.

IMPORTANT

Firms registered for online invoicing must submit the data on line; any completed paper forms should be emailed to feetariffreturns@fca.org.uk before the date shown on the front of your fee tariff data letter.

Before submission please ensure:

- FCA and FOS tariff data have been completed in whole numbers and are in GBP
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, for example the compliance director, before submitting to us

EEA BRANCHES ONLY – You must email feetariffreturns@fca.org.uk and let us know if there are any regulated activities you are not conducting in the UK. We will update your online form to reflect this so you can submit your return. Paper reporters can indicate this when returning their form.

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