

FEES AND LEVIES 2018/19– REQUEST FOR FEE-TARIFF DATA

Fee-blocks A018 and I016

FCA	Tariff base	Annual income – home finance mediation
FCA	Valuation date	For financial year ended in calendar year ending 31 December 2017

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA), Money Advice Services (MAS) and the Financial Ombudsman Service (FOS). We provide separate guidance for data relevant to the [FSCS levies](#).

This guidance sheet provides details on the data to include within the firm's fee tariff data form. We have given links to relevant parts of our Handbook to help you submit the fee tariff data correctly. This sheet covers the following fee-blocks:

- **FCA fee-block A018**
- **FOS industry block I016**

For more information on this fee block, including which regulated activities place firms into fee-blocks, please refer to the fees manual ([FEES](#)).

Each year we consult on any proposed fee policy changes and fee tariff bases may be subject to change. So before submitting the fee tariff data, you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when any changes will be implemented.

For FSCS guidance, publication and further information on fees and levies, visit our [fees website](#).

FCA fee-block: A018 – home finance providers, advisers and arrangers

Tariff base: annual income for your financial year ended in 2017.

Annual income for all relevant fee-blocks is defined in [FEES 4 Annex 11AR](#); please see items (d) to (f) for specific definitions for calculating annual income for fee-block A.18. Guidance is set out in [FEES 4 Annex 13G](#)

FOS industry block: I016 – home finance providers, advisers and arrangers

Tariff base: relevant annual income for your financial year ended in 2017

You do not need to supply tariff data for Industry block I016 as the FOS levy is currently a flat fee.

If the firm has a valid FOS exemption no data is required.

e-mail: feetariffreturns@fca.org.uk	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 25 The North Colonnade Canary Wharf London E14 5HS
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IMPORTANT

Firms registered for online invoicing must submit the data on line; any completed paper forms should be emailed to feetariffreturns@fca.org.uk before the date shown on the front of your fee tariff data letter.

Before submission ensure:

- FCA and FOS tariff data have been completed in whole numbers and are in GBP.
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, e.g. compliance director, before submitting to us

EEA BRANCHES ONLY – You must email feetariffreturns@fca.org.uk and let us know if there are any regulated activities you are not conducting in the UK. We will update your online form to reflect this so you can submit your return. Paper reporters can indicate this when returning their form.

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