

# Fee tariff data

## Regulatory fees and levies 2020/2021

### Fee-blocks A018 and I016

<b>FCA</b>	<b>Tariff base</b>	<b>Annual income – home finance mediation</b>
<b>FCA</b>	<b>Valuation date</b>	<b>For financial year ended in calendar year ending 31 December 2019</b>

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA), Financial Guidance Levy (FGL) and the Financial Ombudsman Service (FOS). We provide separate guidance for data relevant to the [FSCS levies](#).

This guidance sheet provides details on the data to include within the firm's fee tariff data submission. We have given links to relevant parts of our Handbook to help you submit the fee tariff data correctly. This sheet covers the following fee-blocks:

- **FCA fee-block A018**
- **FOS industry block I016**

For more information on this fee block, including which regulated activities place firms into fee-blocks, please refer to the fees manual ([FEES](#)).

Each year we consult on any proposed fee policy changes and fee tariff bases may be subject to change. So before submitting the fee tariff data, you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when any changes will be implemented.

For FSCS guidance, publication and further information on fees and levies, visit our [fees webpages](#).

#### Notes:

Your firm is allocated to FSCS class 4 category 1, home finance intermediation (CLHFI-1), which replaced FSCS class SE02. The levy for this category continues to be based on annual eligible income. See the guidance for [FSCS category CLHFI-1](#).

## FEES AND LEVIES 2020/21– REQUEST FOR FEE-TARIFF DATA

### **FCA fee-block: A018 – home finance providers, advisers and arrangers**

**Tariff base: annual income for your financial year ended in 2019.**

Annual income for all relevant fee-blocks is defined in [FEES 4 Annex 11AR](#); please see items (d) to (f) for specific definitions for calculating annual income for fee-block A.18. Guidance is set out in [FEES 4 Annex 13G](#)

### **FOS industry block: I016 – home finance providers, advisers and arrangers**

**Tariff base: relevant annual income for your financial year ended in 2019**

You do not need to supply tariff data for Industry block I016 as the FOS levy is currently a flat fee.

If the firm has a valid FOS exemption no data is required.

### **IMPORTANT**

**Firms registered for online invoicing must submit the data on line; any completed paper forms should be emailed to [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) before the date shown on the front of your fee tariff data letter.**

#### **Before submission ensure:**

- Data has been completed in whole numbers and are in GBP.
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, for example the compliance director, before submitting to us

EEA BRANCHES ONLY – You must email [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) and let us know if there are any regulated activities you are not conducting in the UK. We will update your online form to reflect this so you can submit your return. Paper reporters can indicate this when returning their form.

<b>e-mail: <a href="mailto:feetariffreturns@fca.org.uk">feetariffreturns@fca.org.uk</a></b>	<b>Tel: 0300 500 0597</b>	<b>Mail: FCA - Revenue Dept. Tariff Data Collection 12 Endeavour Square London E20 1JN</b>
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