

## FEES AND LEVIES 2017/18– REQUEST FOR FEE-TARIFF DATA

### Fee-blocks A001 and I001

<b>FOS</b>	<b>Tariff base</b>	<b>Number of relevant accounts</b>
<b>FOS</b>	<b>Valuation date</b>	<b>As at 31 December 2016</b>

We need fees and levies information to calculate the fees payable by the firm in respect of the Financial Conduct Authority (FCA) Prudential Regulation Authority (PRA) Money Advice Services (MAS) and the Financial Ombudsman Service (FOS). We provide separate guidance for data relevant to the [FSCS levies](#).

This guidance sheet provides details on the data to include within the firm's fee tariff data form. We have given links to relevant parts of our Handbook to help you complete the form correctly. This sheet covers the following fee-blocks:

- **FCA fee-block A001**
- **FOS industry block I001**

For more information on this, including which regulated activities place firms into fee-blocks, please refer to the fees manual ([FEES](#))

Fee tariff bases are subject to change during the year because we consult on our fee rules. , So before completing the forms, you should also refer to [latest publications](#) on our fees website.

These explain any proposed changes to the tariff data you must report, and the expected date when changes will be implemented.

For FSCS guidance, publication and further information on fees and levies, visit our [fees website](#).

### FCA fee-block: A001 – deposit acceptors

#### **Tariff base - modified eligible liabilities**

For FCA 2017/18 periodic fee calculation purposes, we obtain this data from our own regulatory systems.

The modified eligible liability (MEL) data we use to calculate FCA and PRA fees for A.1 fee-payers is obtained from the following sources:

- For banks and building societies: from the Form Eligible Liabilities (ELS) submitted either monthly or quarterly.
- For a monthly reporter – this will be the last three months of the year
- For a quarterly reporter – this will be the quarter ending 31/12/16
- For credit unions: from returns submitted either quarterly or yearly.

We define MEL under [FEES 4, Annex 1A](#)

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### FOS industry block: I001 – deposit acceptors, home finance providers and administrators

**Tariff base - number of relevant accounts as at 31<sup>st</sup> December 2016.**

The number of relevant accounts refers to business covered by the Compulsory Jurisdiction within [DISP 2.6](#) - For rules on the Number of Relevant Accounts refer to [FEES 5, Annex 1](#) Report only those accounts to be held by consumers only. You only need to count an account once even if it is relevant to two or more activities.

No data is requested if you are a Credit Union as this is covered under FOS Industry block I014.

If the firm has a valid FOS exemption as notified on the fee tariff data form no data is required.

### **IMPORTANT**

**You must return the information to our Revenue Operations department by the date shown on the front of your tariff data letter. Return paper forms by post, or email via the contact details below or submit the data on line.**

#### **Before submission please ensure:**

- FOS tariff data has been completed and is in numerical format for the total number of relevant accounts.
- You have completed and totalled all sections.
- Do not leave blanks (enter 'NIL' on the paper form or alternatively '0' when submitting on line where applicable).
- The tariff data form has been signed off at the appropriate level of seniority, e.g. compliance director, before submitting to us.
- EEA BRANCHES ONLY – If your firm is not conducting any regulated activities in the UK that place your firm in this fee-block, please indicate this when returning your paper form or if submitting the form on line you must email [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) stating which of these are not applicable. We will update your online form to reflect this so you can submit your return.

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