

Fee tariff data

Regulatory fees and levies 2019/2020

Fee-blocks A001 and I001

FOS	Tariff base	Number of relevant accounts
FOS	Valuation date	As at 31 December 2018

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA), Prudential Regulation Authority (PRA), Financial Guidance Levy (FGL) and the Financial Ombudsman Service (FOS). We provide separate guidance for data relevant to the [FSCS levies](#).

This guidance sheet provides details on the data to include within the firm's fee tariff data submission. We have given links to relevant parts of our Handbook to help you complete the form correctly. This sheet covers the following fee-blocks:

- **FCA fee-block A001**
- **FOS industry block I001**

For more information on this, including which regulated activities place firms into fee-blocks, please refer to the fees manual ([FEES](#))

Each year we consult on any proposed fee policy changes and fee tariff bases may be subject to change. So before submitting the fee tariff data, you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when changes will be implemented.

For FSCS guidance, publication and further information on fees and levies, visit our [fees webpages](#).

Notes:

Your firm is now allocated to FSCS class 6, deposit acceptors' contribution (CLDA), which replaces FSCS class F and is in addition to deposit class, SA01. The tariff base is the same for both classes. See the guidance for [FSCS class SA01](#)

FEES AND LEVIES 2019/20 – REQUEST FOR FEE-TARIFF DATA

FCA fee-block: A001 – deposit acceptors

Tariff base: modified eligible liabilities

Firms do not need to report tariff data for fee-block A1; we obtain this data from our own regulatory systems.

The modified eligible liability (MEL) is the data we use to calculate FCA and PRA fees for A1 fee-block. This data is obtained from the following sources:

- For banks and building societies: from the Form Eligible Liabilities (ELS) submitted either monthly or quarterly
 - For a monthly reporter – this will be the last three months of 2018
 - For a quarterly reporter – this will be the quarter ending 31/12/18
- For credit unions: from returns submitted either quarterly or yearly

We define MEL under [FEES 4, Annex 1A](#)

e-mail: feetariffreturns@fca.org.uk	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 12 Endeavour Square London E20 1JN
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FEES AND LEVIES 2019/20 – REQUEST FOR FEE-TARIFF DATA

FOS industry block: I001 – deposit acceptors, home finance providers and administrators

Tariff base: number of relevant accounts as at 31st December 2018.

The number of relevant accounts refers to business covered by the Compulsory Jurisdiction within [DISP 2.6](#) - For rules on the Number of Relevant Accounts refer to [FEES 5, Annex 1R](#). Report only those accounts that are held by consumers. You only need to count an account once even if it is relevant to two or more activities.

We do not ask FOS tariff data from Credit Unions; they pay a flat levy under FOS Industry block I014.

IMPORTANT

Firms registered for online invoicing must submit the data on line; any completed paper forms should be emailed to feetariffreturns@fca.org.uk before the date shown on the front of your fee tariff data letter.

Before submission please ensure:

- FOS tariff data is in numerical format
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, e.g. compliance director, before submitting to us

EEA BRANCHES ONLY – You must email feetariffreturns@fca.org.uk and let us know if there are any regulated activities you are not conducting in the UK. We will update your online form to reflect this so you can submit your return. Paper reporters can indicate this when returning their form.

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