

# Fee tariff data

## Regulatory fees and levies 2020/2021

### Fee-blocks AG03 or G003 and IA11

<b>FCA</b>	<b>Tariff base</b>	<b>Relevant Income</b>
<b>FOS</b>	<b>Tariff base</b>	<b>Relevant Income</b>
<b>FCA/FOS</b>	<b>Valuation date</b>	<b>For financial year ended in the calendar year ending 31 December 2019</b>

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA), Financial Guidance Levy (FGL) and the Financial Ombudsman Service (FOS).

This guidance sheet provides details on the data to include within the firm's fee tariff data submission. We have given links to relevant parts of the Handbook to help you complete the form correctly. This sheet covers the following fee-blocks:

- **FSA fee-block AG03 or G003**
- **FOS industry block IA11**

For more information on this, including which regulated activities place firms into the above fee-blocks, see the [Fees](#) manual.

Each year we consult on any proposed fee policy changes and fee tariff bases may be subject to change. So before submitting the fee tariff data, you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when any changes will be implemented.

### FCA fee-block: AG03 or G003 – large payment institutions

#### Tariff base: relevant income for your financial year ended in 2019

**Relevant income** is defined in our fees handbook, part 3 of [FEES 4 Annex 11R](#)

This is the sum of the following elements of the *firm's UK* business:

- Interest income
- Interest expenses
- Gross commissions and fees received
- Gross other operating income calculated in the same manner as the "relevant indicator" referred to in paragraph 10(3) of Schedule 3 to the [Payment Services Regulations](#).

For the Post Office Limited only, Relevant Income relates only to its *payment services* business.

## FEES AND LEVIES 2020/21– REQUEST FOR FEE-TARIFF DATA

**FOS Industry block: IA11 – authorised payment service providers** (*but excluding firms in any other industry blocks*)

**Tariff base: relevant income for your financial year ended in 2019**

The FOS levy is based on business done with consumers and which is subject to the jurisdiction of the Financial Ombudsman Service (see [DISP 2.3](#)). Firms should only include income in relation to consumers. If the firm's entire income from payment services business is carried on with consumers, then the data you report here will be the same as that reported under fee-block G003.

If the firm has a valid FOS exemption no data is required.

**Please note** – Firms in fee-block AG03 are not required to submit tariff data for IA11 as they are already pay levies in other FOS industry blocks.

### IMPORTANT

**Firms registered for online invoicing must submit the data on line, any completed paper forms should be emailed to [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) before the date shown on the front of your fee tariff data letter.**

**Before submission please ensure:**

- FCA and FOS tariff data have been completed in whole numbers and are in GBP
- You have completed all sections.
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable).
- The tariff data has been signed off at the appropriate level of seniority, for example the compliance director, before submitting to us.

EEA BRANCHES ONLY – You must email [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) and let us know if there are any regulated activities you are not conducting in the UK. We will update your online form to reflect this so you can submit your return. Paper reporters can indicate this when returning their form.

e-mail: <a href="mailto:feetariffreturns@fca.org.uk">feetariffreturns@fca.org.uk</a>	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 12 Endeavour Square London E20 1JN
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