

Usage Guideline

auth.092.001.03_FCAUG_DATREJ_1.0.0

EMIR Refit - Outgoing Messages - FINAL - V1.0

This document describes a usage guideline restricting the base message auth.092.001.03. You can also consult this [information online](#).

Published by Financial Conduct Authority and generated by [MyStandards](#).

Status: Final

20 April 2023

Table of Content	2
Message Functionality	3
About this document	4
Usage Guideline	5
Legal Notices	11

Message Functionality

Collection Description

EMIR Refit - Outgoing Messages - FINAL - V1.0 ([link](#))

Usage Guideline Description

auth.092.001.03_FCAUG_DATREJ_1.0.0 ([link](#))

The DerivativesTradeRejectionStatisticalReport message is sent by the trade repository (TR) to the report submitting entity, identifying the transactions rejected and the reasons for a rejection.

Outline

The auth.092.001.03_FCAUG_DATREJ_1.0.0 message is composed of 2 building blocks

a - No Transaction [NOTX]

No transaction has been identified during the period.

b - Report

Detailed statistics per counterparty.

About this document

Legend

Abbreviation	Term	Description
X	Excluded	An optional field or element must not be populated.
I	Ignored	A field or element could be populated but is ignored by the receiver.
[x..y]	Multiplicity	A field or element multiplicity has changed.
FV	Fixed Value	A field or element must contain a given value.
T/C	Type / Code Change	A user-defined datatype replaces an existing simple datatype.
A	Element Added	A field or element has been added.

Header	Description
Index	Element reference
LvL	Element nesting in tree hierarchy
Name	Element name
XML Tag	Element XML tag
Mult	Element multiplicity
Type / Code	Element formatting
Rest	Restriction type
Additional details	Other restriction specifics

Type/Code Notation	Describes the Element	Examples
text{m,M}	minimum (m) and maximum (M) length	text{1,35}
text{L}	maximum (L) length, minimum length is 0	test{10}
m <= decimal <= M	minimum (m) and maximum (M) values	0.01 <= decimal <= 9999.99
fd = F, td = T	maximum fractional (F) and total (T) number of digits	fd = 2, td = 11
<<regular expression>>	regular expression pattern	[A-Z]{6,6}([A-Z0-9]{3,3}){0,1}

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	0	Derivatives Trade Rejection Statistical Report V03 (auth.092.001.03)	<DerivsTradRjctnSttstclRpt>				
	1	Rejection Statistics	<RjctnSttstcs>	[1..1]	Choice		
	2	Data Set Action	<DataSetActn>	[1..1]	text		
	3	No Transaction			NOTX		
	2	Report	<Rpt>	[1..1]		[1..1]	
	3	Reference Date	<RefDt>	[1..1]	date		
	3	Total Number Of Reports	<TtlNbOfRpts>	[1..1]	text [0-9]{1,15}		
	3	Total Number Of Reports Accepted	<TtlNbOfRptsAccptd>	[1..1]	text [0-9]{1,15}		
	3	Total Number Of Reports Rejected	<TtlNbOfRptsRjctd>	[1..1]	text [0-9]{1,15}		
	3	Total Number Of Transactions	<TtlNbOfTx>	[1..1]	text [0-9]{1,15}		
	3	Total Number Of Transactions Accepted	<TtlNbOfTxAccptd>	[1..1]			
	4	All	<All>	[1..1]	0 <= decimal td = 20 fd = 0		
	4	New	<New>	[1..1]	0 <= decimal td = 20 fd = 0		
	4	Modification	<Mod>	[1..1]	0 <= decimal td = 20 fd = 0		
	3	Total Number Of Transactions Rejected	<TtlNbOfTxRjctd>	[1..1]			
	4	All	<All>	[1..1]	0 <= decimal td = 20 fd = 0		
	4	New	<New>	[1..1]	0 <= decimal td = 20 fd = 0		
	4	Modification	<Mod>	[1..1]	0 <= decimal td = 20 fd = 0		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	3	Rejection Statistics	<RjctnSttscs>	[1..*]		[1..5 0000 0]	
	4	Counterparty Identification	<CtrPtyId>	[1..1]			
	5	Reporting Counterparty	<RptgCtrPty>	[0..1]	Choice		
	6	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	6	Other	<Othr>	[1..1]			
	7	Identification	<Id>	[1..1]			
	8	Identification	<Id>	[1..1]	text{1,72}		
	5	Report Submitting Entity	<RptSubmitgNtty >	[0..1]	Choice	[1..1]	
	6	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	6	Other	<Othr>	[1..1]			
	7	Identification	<Id>	[1..1]			
	8	Identification	<Id>	[1..1]	text{1,72}		
	5	Entity Responsible For Report	<NttyRspnsblFor Rpt>	[0..1]	Choice		
	6	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	4	Report Statistics	<RptSttstcs>	[1..1]			
	5	Total Number Of Reports	<TtlNbOfRpts>	[1..1]	text [0-9]{1,15}		
	5	Accepted Total Number Of Reports	<TtlNbOfRptsAcc ptd>	[1..1]	text [0-9]{1,15}		
	5	Rejected Total Number Of Reports	<TtlNbOfRptsRjct d>	[1..1]	text [0-9]{1,15}		
	5	Number Of Reports Rejected Per Error	<NbOfRptsRjctd PerErr>	[0..*]			
	6	Detailed Number	<DtldNb>	[1..1]	text [0-9]{1,15}		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	6	Report Status	<RptSts>	[1..*]			
	7	Identification Message Report	<MsgRptId>	[1..1]	text{1,140}		
	7	Status	<Sts>	[1..1]	text		
	8	Accepted			ACPT		
	8	Rejected			RJCT		
	8	Incorrect			INCF		
		Filename					
	8	Corrupted File			CRPT		
	8	Not Authorised			NAUT		
	7	Detailed Validation	<DtldVldtnRule>	[0..1]			
		Rule					
	8	Identification	<Id>	[1..1]	text{1,35}		
	8	Description	<Desc>	[0..1]	text{1,350}		
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		
	4	Derivative Statistics	<DerivSttstcs>	[1..1]	Choice		
	5	Data Set Action	<DataSetActn>	[1..1]	text		
	6	No Transaction			NOTX		
	5	Detailed Statistics	<DtldSttstcs>	[1..1]			
	6	Total Number Of Transactions	<TtINbOfTxs>	[1..1]	text [0-9]{1,15}		
	6	Total Number Of Transactions Accepted	<TtINbOfTxsAccptd>	[1..1]			
	7	All	<All>	[1..1]	0 <= decimal td = 20 fd = 0		
	7	New	<New>	[1..1]	0 <= decimal td = 20 fd = 0		
	7	Modification	<Mod>	[1..1]	0 <= decimal td = 20 fd = 0		
	6	Total Number Of Transactions Rejected	<TtINbOfTxsRjctd>	[1..1]			

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	7	All	<All>	[1..1]	0 <= decimal td = 20 fd = 0		
	7	New	<New>	[1..1]	0 <= decimal td = 20 fd = 0		
	7	Modification	<Mod>	[1..1]	0 <= decimal td = 20 fd = 0		
	6	Reason Transactions Rejections	<TxsrjctnsRsn>	[0..*]		[0..5 0000 0]	
	7	Transaction Identification	<TxId>	[1..1]			
	8	Action Type	<ActnTp>	[0..1]	text		
	9	Compression			COMP		
	9	Correction			CORR		
	9	Error			EROR		
	9	Modification			MODI		
	9	Transaction New			NEWT		
	9	Position Component			POSC		
	9	Revive			REVI		
	9	Termination			TERM		
	9	Update Valuation			VALU		
	9	Margin Update			MARU		
	8	Stamp Reporting Time	<RptgTmStmp>	[0..1]	dateTime		
	8	Derivative Event Type	<DerivEvtTp>	[0..1]	text		
	9	Allocation			ALOC		
	9	Clearing			CLRG		
	9	Compression			COMP		
	9	Action Corporate			CORP		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	9	Credit Event			CREV		
	9	Termination	Early		ETRM		
	9	Exercise			EXER		
	9	Position	Inclusion In		INCP		
	9	Novation			NOVA		
	9	Trade			TRAD		
	9	Update			UPDT		
	8	Time Stamp	Derivative Event	<DerivEvtTmStm p>	[0..1] Choice		
	9	Date	<Dt>	[1..1]	date		
	8	Other Counterparty	<OthrCtrPty>	[0..1]	Choice		
	9	Legal	<Lgl>	[1..1]			
	10	Identification	<Id>	[1..1]	Choice		
	11	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	11	Other	<Othr>	[1..1]			
	12	Identification	<Id>	[1..1]			
	13	Identification	<Id>	[1..1]	text{1,72}		
	9	Natural	<Ntrl>	[1..1]			
	10	Identification	<Id>	[1..1]			
	11	Identification	<Id>	[1..1]			
	12	Identification	<Id>	[1..1]	text{1,72}		
	10	Country	<Ctry>	[0..1]	text [A-Z]{2,2}		
	8	Unique Identifier	<Unqldr>	[0..1]	Choice		
	9	Transaction Identifier	Unique <UnqTxldr>	[1..1]	text [A-Z0-9]{18}[0-9]{2}[A-Z0-9]{0,32}		
	9	Proprietary	<Prtry>	[1..1]			

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	10	Identification	<Id>	[1..1]	text{1,72}		
	8	Collateral Portfolio Code	<CollPrftlCd>	[0..1]	Choice		
	9	Portfolio	<Prftl>	[1..1]	Choice		
	10	Code	<Cd>	[1..1]	text{1,52}		
	10	No Portfolio	<NoPrftl>	[1..1]	text{0,4}		
	11	Not Applicable			NOAP		
	7	Status	<Sts>	[1..1]	text		
	8	Accepted			ACPT		
	8	Rejected			RJCT		
	8	Incorrect Filename			INCF		
	8	Corrupted File			CRPT		
	8	Not Authorised			NAUT		
	7	Detailed Validation Rule	<DtldVldtnRule>	[0..*]			
	8	Identification	<Id>	[1..1]	text{1,35}		
	8	Description	<Desc>	[0..1]	text{1,350}		
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		

Legal Notices

Copyright

SWIFT SCRL © 2023. All rights reserved.

This material is a component of MyStandards, the SWIFT collaborative Web application used to manage standards definitions and industry usage. It can only be used and distributed in accordance with MyStandards Terms of Use.

Unless otherwise agreed in writing with SWIFT SCRL, you have no right to:

- authorise external end users to use this component for other purposes than their internal use.
- remove, alter, cover, obfuscate or cancel from view any copyright or other proprietary rights notices appearing in this physical medium.
- re-sell or authorise another party e.g. software and service providers, to re-sell this component.

Confidentiality

This publication may contain SWIFT or third-party confidential information. Only disclose it outside your organisation in accordance with MyStandards Terms of Use and your related license rights.

This component is provided 'AS IS'. SWIFT does not give and excludes any express or implied warranties with respect to this component such as but not limited to any guarantee as to its quality, supply or availability.

Any and all rights, including title, ownership rights, copyright, trademark, patents, and any other intellectual property rights of whatever nature in this component will remain the exclusive property of SWIFT or its licensors.

Trademarks and Patents

SWIFT is the trade name of S.W.I.F.T. SCRL. The following are registered trademarks of SWIFT:

SWIFT, the SWIFT logo, 3SKey, Innotribe, Sibos, SWIFTNet, MyStandards, SWIFTReady, and Accord. Other product, service, or company names in this publication are trade names, trademarks, or registered trademarks of their respective owners..