

Usage Guideline

auth.092.001.04_FCAUG_DATREJ_1.0.0

EMIR Refit - Outgoing Messages - FINAL - V1.1

This document describes a usage guideline restricting the base message auth.092.001.04. You can also consult this [information online](#).

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Message Functionality

Collection Description

EMIR Refit - Outgoing Messages - FINAL - V1.1 ([link](#))

Usage Guideline Description

auth.092.001.04_FCAUG_DATREJ_1.0.0 ([link](#))

The DerivativesTradeRejectionStatisticalReport message is sent by the trade repository (TR) to the report submitting entity, identifying the transactions rejected and the reasons for a rejection.

Outline

In the Collection EMIR Refit - Outgoing Messages - FINAL - V1.1, the message auth.092.001.04_FCAUG_DATREJ_1.0.0 is composed of a mandatory Business Application Header V01 (head.001.001.01) and a Document.

Business Application Header V01 (head.001.001.01)

The Business Application Header V01 (head.001.001.01) is composed of 13 elements.

a - Character Set

Contains the character set of the text-based elements used in the Business Message.

b - From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

c - To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

d - Business Message Identifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

e - Message Definition Identifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

f - Business Service

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

g - Creation Date

Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

h - Copy Duplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

i - Possible Duplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

j - Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

k - Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

l - Related

Specifies the Business Application Header of the Business Message to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

m - CrossElementComplexRule : RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

Document - Derivatives Trade Rejection Statistical Report V04 (auth.092.001.04)

The Document - Derivatives Trade Rejection Statistical Report V04 (auth.092.001.04) is

composed of 2 elements.

a - Rejection Statistics

Detailed information on rejections of derivative transactions.

b - Supplementary Data

Additional information that cannot be captured in the structured fields and/or any other specific block.

About this document

Legend

Abbreviation	Term	Description
X	Excluded	An optional field or element must not be populated.
I	Ignored	A field or element could be populated but is ignored by the receiver.
[x..y]	Multiplicity	A field or element multiplicity has changed.
FV	Fixed Value	A field or element must contain a given value.
T/C	Type / Code Change	A user-defined datatype replaces an existing simple datatype.
A	Element Added	A field or element has been added.

Header	Description
Index	Element reference
LvL	Element nesting in tree hierarchy
Name	Element name
XML Tag	Element XML tag
Mult	Element multiplicity
Type / Code	Element formatting
Rest	Restriction type
Additional details	Other restriction specifics

Type/Code Notation	Describes the Element	Examples
text{m,M}	minimum (m) and maximum (M) length	text{1,35}
text{L}	maximum (L) length, minimum length is 0	test{10}
m <= decimal <= M	minimum (m) and maximum (M) values	0.01 <= decimal <= 9999.99
fd = F, td = T	maximum fractional (F) and total (T) number of digits	fd = 2, td = 11
<<regular expression>>	regular expression pattern	[A-Z]{6,6}([A-Z0-9]{3,3}){0,1}

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	0	Full Message		[1..1]			
	1	Business Application Header V01 (head.001.001.01)	<AppHdr>	[1..1]			
	2	From	<Fr>	[1..1]	Choice		
	3	Organisation Identification	<OrgId>	[1..1]			
	4	Identification	<Id>	[0..1]	Choice		
	5	Organisation Identification	<OrgId>	[1..1]			
	6	Other	<Othr>	[0..*]			
	7	Identification	<Id>	[1..1]	text{1,35}		
	7	Scheme Name	<SchmeNm>	[0..1]	Choice		
	8	Code	<Cd>	[1..1]	text{1,4}		
	8	Proprietary	<Prtry>	[1..1]	text{1,35}		
	7	Issuer	<Issr>	[0..1]	text{1,35}		
	2	To	<To>	[1..1]	Choice		
	3	Organisation Identification	<OrgId>	[1..1]			
	4	Identification	<Id>	[0..1]	Choice		
	5	Organisation Identification	<OrgId>	[1..1]			
	6	Other	<Othr>	[0..*]			
	7	Identification	<Id>	[1..1]	text{1,35}		
	7	Scheme Name	<SchmeNm>	[0..1]	Choice		
	8	Code	<Cd>	[1..1]	text{1,4}		
	8	Proprietary	<Prtry>	[1..1]	text{1,35}		
	7	Issuer	<Issr>	[0..1]	text{1,35}		
	2	Business Message Identifier	<BizMsgIdr>	[1..1]	text{1,35}		
	2	Message Definition Identifier	<MsgDefIdr>	[1..1]	text{1,35}		
	2	Creation Date	<CreDt>	[1..1]	dateTime .*Z		
	2	Related	<Rltd>	[0..1]			
	3	From	<Fr>	[1..1]	Choice		
	4	Organisation Identification	<OrgId>	[1..1]			
	5	Identification	<Id>	[0..1]	Choice		
	6	Organisation Identification	<OrgId>	[1..1]			
	7	Other	<Othr>	[0..*]			
	8	Identification	<Id>	[1..1]	text{1,35}		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		
	3	To	<To>	[1..1]	Choice		
	4	Organisation Identification	<OrgId>	[1..1]			
	5	Identification	<Id>	[0..1]	Choice		
	6	Organisation Identification	<OrgId>	[1..1]			
	7	Other	<Othr>	[0..*]			
	8	Identification	<Id>	[1..1]	text{1,35}		
	8	Scheme Name	<SchmeNm>	[0..1]	Choice		
	9	Code	<Cd>	[1..1]	text{1,4}		
	9	Proprietary	<Prtry>	[1..1]	text{1,35}		
	8	Issuer	<Issr>	[0..1]	text{1,35}		
	3	Business Message Identifier	<BizMsgIdr>	[1..1]	text{1,35}		
	3	Message Definition Identifier	<MsgDefIdr>	[1..1]	text{1,35}		
	3	Creation Date	<CreDt>	[1..1]	dateTime .*Z		
	1	Document		[1..1]			
	2	Derivatives Trade Rejection Statistical Report V04 (auth.092.001.04)	<DerivsTradRjctn SttstclRpt>				
	3	Rejection Statistics	<RjctnSttstcs>	[1..1]	Choice		
	4	Data Set Action	<DataSetActn>	[1..1]	text		
	5	No Transaction			NOTX		
	4	Report	<Rpt>	[1..1]		[1..1]	
	5	Reference Date	<RefDt>	[1..1]	date		
	5	Total Number Of Reports	<TtINbOfRpts>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Accepted Total Number Of Reports	<TtINbOfRptsAcc ptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Rejected Total Number Of Reports	<TtINbOfRptsRjct d>	[1..1]	0 <= decimal td = 20 fd = 0		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	5	Total Number Of Transactions	<TtlNbOfTxs>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Total Number Of Transactions Accepted	<TtlNbOfTxsAccptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Total Number Of Transactions Rejected	<TtlNbOfTxsRjctd>	[1..1]	0 <= decimal td = 20 fd = 0		
	5	Rejection Statistics	<RjctnSttstcs>	[1..*]		[1..5 0000 0]	
	6	Counterparty Identification	<CtrPtyId>	[1..1]			
	7	Reporting Counterparty	<RptgCtrPty>	[0..1]	Choice		
	8	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	8	Other	<Othr>	[1..1]			
	9	Identification	<Id>	[1..1]			
	10	Identification	<Id>	[1..1]	text{1,72}		
	7	Report Submitting Entity	<RptSubmitgNtty>	[0..1]	Choice	[1..1]	
	8	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	8	Other	<Othr>	[1..1]			
	9	Identification	<Id>	[1..1]			
	10	Identification	<Id>	[1..1]	text{1,72}		
	7	Entity Responsible For Report	<NttyRspnsblForRpt>	[0..1]	Choice		
	8	LEI	<LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	6	Report Statistics	<RptSttstcs>	[1..1]			

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	7	Total Number Of Reports	<TtlNbOfRpts>	[1..1]	0 <= decimal td = 20 fd = 0		
	7	Total Number Of Reports Accepted	<TtlNbOfRptsAccptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	7	Total Number Of Reports Rejected	<TtlNbOfRptsRjctd>	[1..1]	0 <= decimal td = 20 fd = 0		
	7	Number Of Reports Rejected Per Error	<NbOfRptsRjctdPerErr>	[0..*]			
	8	Detailed Number	<DtldNb>	[1..1]	text [0-9]{1,15}		
	8	Report Status	<RptSts>	[1..*]			
	9	Message Report Identification	<MsgRptld>	[1..1]	text{1,140}		
	9	Status	<Sts>	[1..1]	text		
	10	Accepted			ACPT		
	10	Rejected			RJCT		
	10	Incorrect Filename			INCF		
	10	Corrupted File			CRPT		
	10	Not Authorised			NAUT		
	9	Detailed Validation Rule	<DtldVldtnRule>	[0..1]			
	10	Identification	<Id>	[1..1]	text{1,35}		
	10	Description	<Desc>	[0..1]	text{1,350}		
	10	Scheme Name	<SchmeNm>	[0..1]	Choice		
	11	Code	<Cd>	[1..1]	text{1,4}		
	11	Proprietary	<Prtry>	[1..1]	text{1,35}		
	10	Issuer	<Issr>	[0..1]	text{1,35}		
	6	Derivative Statistics	<DerivSttstcs>	[1..1]	Choice		
	7	Data Set Action	<DataSetActn>	[1..1]	text		
	8	No Transaction			NOTX		
	7	Detailed Statistics	<DtldSttstcs>	[1..1]			

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	8	Total Number Of Transactions	<TtlNbOfTxs>	[1..1]	0 <= decimal td = 20 fd = 0		
	8	Total Number Of Transactions Accepted	<TtlNbOfTxsAccptd>	[1..1]	0 <= decimal td = 20 fd = 0		
	8	Total Number Of Transactions Rejected	<TtlNbOfTxsRjctd>	[1..1]	0 <= decimal td = 20 fd = 0		
	8	Transactions Rejections Reason	<TxsRjctnsRsn>	[0..*]		[0..5 0000 0]	
	9	Transaction Identification	<TxId>	[1..1]			
	10	Action Type	<ActnTp>	[0..1]	text		
	11	Compression			COMP		
	11	Correction			CORR		
	11	Error			EROR		
	11	Modification			MODI		
	11	New Transaction			NEWT		
	11	Position Component			POSC		
	11	Revive			REVI		
	11	Termination			TERM		
	11	Valuation Update			VALU		
	11	Margin Update			MARU		
	10	Reporting Time Stamp	<RptgTmStmp>	[0..1]	dateTime		
	10	Derivative Event Type	<DerivEvtTp>	[0..1]	text		
	11	Allocation			ALOC		
	11	Clearing			CLRG		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	11	Compression			COMP		
	11	Action Corporate			CORP		
	11	Event Credit			CREV		
	11	Termination Early			ETRM		
	11		Exercise		EXER		
	11	In Position	Inclusion		INCP		
	11		Novation		NOVA		
	11		Trade		TRAD		
	11		Update		UPDT		
	10	Event Time Stamp	Derivative <DerivEvtTmStm p>	[0..1]	Choice		
	11		Date <Dt>	[1..1]	date		
	10	Counterparty	Other <OthrCtrPty>	[0..1]	Choice		
	11		Legal <Lgl>	[1..1]			
	12	Identification	<Id>	[1..1]	Choice		
	13		LEI <LEI>	[1..1]	text [A-Z0-9]{18,18}[0-9]{2,2}		
	13		Other <Othr>	[1..1]			
	14	Identification	<Id>	[1..1]			
	15	Identification	<Id>	[1..1]	text{1,72}		
	11		Natural <Ntrl>	[1..1]			
	12	Identification	<Id>	[1..1]			
	13	Identification	<Id>	[1..1]			
	14	Identification	<Id>	[1..1]	text{1,72}		

Index	Lv l	Name	XML Tag	Mult	Type / Code	Rest r	Additional details
	12	Country	<Ctry>	[0..1]	text [A-Z]{2,2}		
	10	Unique Identifier	<Unqldr>	[0..1]	Choice		
	11	Transaction Identifier	<UnqTxldr>	[1..1]	text [A-Z0-9]{18}[0-9]{2}[A-Z0-9]{0,32}		
	11	Proprietary	<Prtry>	[1..1]			
	12	Identification	<Id>	[1..1]	text{1,72}		
	10	Portfolio Code	<CollPrtlCd>	[0..1]	Choice		
	11	Portfolio	<Prtl>	[1..1]	Choice		
	12	Code	<Cd>	[1..1]	text{1,52}		
	12	Portfolio	<NoPrtl>	[1..1]	text{0,4}		
	13	Not Applicable			NOAP		
	9	Status	<Sts>	[1..1]	text		
	10	Accepted			ACPT		
	10	Rejected			RJCT		
	10	Incorrect			INCF		
	10	Filename					
	10	Corrupted			CRPT		
	10	File					
	10	Not Authorised			NAUT		
	9	Detailed Validation Rule	<DtldVldtnRule>	[0..*]			
	10	Identification	<Id>	[1..1]	text{1,35}		
	10	Description	<Desc>	[0..1]	text{1,350}		
	10	Scheme Name	<SchmeNm>	[0..1]	Choice		
	11	Code	<Cd>	[1..1]	text{1,4}		
	11	Proprietary	<Prtry>	[1..1]	text{1,35}		
	10	Issuer	<Issr>	[0..1]	text{1,35}		

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