

FOI9929: Annex A

List of authorised firms who have a 750k license and who are supervised from the wholesale brokers portfolio as at 15 February 2023:

Account: FRN	Account: Organisation Name
586463	BCS Prime Brokerage Ltd
113946	Amalgamated Metal Trading Ltd
114120	R.J. O'Brien Limited
114237	GF Financial Markets (UK) Limited
114239	Sucden Financial Limited
124341	ICAP WCLK Limited
124520	TP ICAP Markets Limited
141157	Triland Metals Ltd
148474	ADM Investor Services International Limited
146311	AMT Futures Limited
142903	Swiss Finance Corporation Limited
146880	Tullett Prebon (Europe) Limited
139200	Tradition (UK) Limited
140822	Instinet Europe Limited
164380	PVM Oil Futures Ltd
149145	Queen Street Finance Ltd
161196	Icap Energy Limited
161807	G H Financials Ltd
169805	Finantia UK Limited
154234	TP ICAP Broking Limited
174863	Kyte Broking Limited
178744	BASF Metal Forwards Limited
179506	Samsung Securities (Europe) Limited
184786	GFI Brokers Limited
184801	GFI Securities Limited
190632	Tradition London Clearing Ltd
186033	Traderight Limited
179213	King & Shaxson Limited
190911	Guy Butler Limited
188244	Raymond James Financial International Limited
189983	Toyota Tsusho Metals Limited
191757	ICAP Global Derivatives Limited
194926	E D & F Man Capital Markets Limited
195453	ORBIT INVESTMENT SECURITIES SERVICES LIMITED
197244	TFS Derivatives Limited
206018	TFS-ICAP Limited
211134	CF Global Trading (UK) Limited
219706	RIA Capital Markets Limited
231157	Mako Financial Markets Partnership LLP
416697	Vantage Capital Markets LLP
225539	Sova Capital Limited
225544	Louis Capital Markets UK LLP

402459	KNG Securities LLP
403317	Xconnect Trading Limited
415230	Arian Financial LLP
439744	AK Jensen Limited
441316	Maxis Investments Ltd
442744	Cowen International Limited
442767	Marex Financial
454814	BGC Brokers L.P
436215	Dinosaur Merchant Bank Limited
446717	StoneX Financial Ltd
460724	GMSA Investments Limited
507542	China International Capital Corporation (UK) Limited
507219	OTC Europe LLP
465131	Northern Trust Securities LLP
460177	Visor Capital (UK) Limited
500498	Bernstein Autonomous LLP
481853	Finalto Financial Services Limited
526965	The Seaport Group Europe LLP
485362	Sigma Broking Limited
492769	Liquidity Finance LLP
502209	Park Walk Europe LLP
483856	Oppenheimer Europe Ltd.
484589	BTIG Limited
462001	Tavira Financial Limited
474277	Sun Global Investments Limited
499087	Terium LLP
563834	Strata Global Limited
563903	BOCI Global Commodities (UK) Limited
593186	HOBART CAPITAL MARKETS LLP
596146	Monex Europe Markets Limited
570942	Blue Horizon Asset Management Limited
551170	Mariana UFP LLP
572389	Virtu ITG UK Limited
607483	Redburn (Europe) Limited
625054	Makor Securities London Limited
629586	IS Prime Limited
708666	Illiquidx Limited
765603	City & Continental Ltd
767373	FirstRand Securities Limited
610220	Invicta Securities Limited
712156	Ambrosia Capital Ltd
714756	TJM Europe LLP
724231	Gallardo Securities Limited
724949	MarketAxess Capital Limited
745797	XP Investments UK LLP
775059	Lapwing Advisers Ltd
677530	Global Market Index Limited
822279	HTFX Limited

823631	Ediphy Markets Ltd
828730	GC Exchange Limited
783168	First London Brokers Ltd
810631	Hamilton Court Foreign Exchange Limited
810733	Basis Capital Markets UK Limited
537389	Linear Investments Limited
783200	LMAX Broker Limited
821609	Nanhua Financial (UK) Co Limited
478424	Forte Securities Limited
951628	APERTURE-FX LTD
592260	VFX Financial PLC
472780	Cowen Execution Services Limited
610534	China Merchants Securities (UK) Limited
920840	Ardent Financial Limited
922650	ZKB Securities (UK) Ltd
931719	Opulenze Capital Limited
497534	RiverRock Securities Limited
164689	Swiss Investment Corporation Limited
714282	Armira Capital Limited
591755	ASIL PARTNERS LLP
188130	Amius Limited
822509	Onyx Capital Advisory Limited
950964	Privalgo Markets Limited
749440	Causeway Securities Limited
784063	Ebury Partners Markets Limited
208165	JonesTrading International Limited
676509	Posco Capital Partners PLC
170479	BB Securities Ltd
763979	EMFI Securities Limited
191074	Avior Capital Markets International Limited
753786	Monsas Ltd
140836	CLSA (UK)
625263	ALLX Ltd
828692	Hidden Road Partners CIV UK Ltd
720609	MeritKapital UK Limited
596682	Cambridge Mercantile Risk Management (UK) Ltd
580056	Abans Global Limited
966723	Bound Rates Limited
966753	CXM Prime Ltd