Annex A

Email of 18 September 2024

1. How many cyber breach incidents did regulated firms report between 1st January 2023 and 31st December 2023?

1a. How many of these related to third party failures?

1b. How many of these related to a cyber-attack?

1c. How many of the reported incidents as a whole result in a data breach?

1d. How many resulted in enforcement investigation against the regulated firm?

2. How many cyber breach incidents did regulated firms report between 1st January 2024 and 31st the date this FOI request was submitted?

2a. How many of these related to third party failures?

2b. How many of these related to a cyber-attack?

2c. How many of the reported incidents as a whole result in a data breach?

2d. How many resulted in enforcement investigation against the regulated firm?

3. How much money has been spent on cybersecurity crisis simulation preparation since January 1st, 2023, until 1st December 2023?

4. How much money has been spent on cybersecurity crisis simulation preparation since January 1st, 2024, until the day the FOI was submitted?

5. How much money has been budgeted for cybersecurity crisis simulation preparation 2025?

6. How many crisis simulation plans have been practiced since January 1st, 2023, until 1st December 2023?

7. How many crisis simulation plans have been practiced since January 1st, 2024, until the day the FOI was submitted?

Email of 11 October 2024

I hope I have rectified below.

In respect of questions 1 and 2, please confirm what is meant by 'Cyber breach incidents?

Do you mean the operational incidents reported to the FCA by regulated firms where the root cause was reported to be the result of a cyber-attack? **Yes, this is correct.**

For the remaining questions, please confirm if these questions pertain to the FCA or regulated firms? **regulated firms.**

In addition, we would welcome further clarity on what is meant by 'crisis simulation plans', including whether this is intended to refer to Cyber related exercises? **cyber-attack related exercises**