

Annex A

Email received on 27 July 2023:

"Under the Freedom of Information Act 2000, I would like to know the following:

- The number of individuals in the insurance sector that are authorised to carry out the below Controlled Functions and a breakdown of the below by men* and women***

SMF 1 – Chief executive function

SMF 2 - Chief finance officer function

SMF 3 – Executive director function

SMF 4 - Chief risk officer function

SMF 5 - Head of internal audit function

SMF 9 - Chair of the governing body function

SMF 10 - Chair of the risk committee function

SMF 11- Chair of the audit committee function

SMF 12 -Chair of the remuneration committee function

SMF 13 - Chair of the nomination committee function

SMF 14- Senior independent director function

SMF 15 - Chair of the with-profits committee function

SMF 16 - Compliance oversight function

SMF 17 - Money laundering reporting function

SMF 18 - Other overall responsibility function

SMF 23b - Conduct risk oversight (Lloyd's) function

SMF 24 - Chief operations function

** Based on the following salutations: Mr, Earl, Sir, M, Lord, Prince, Viscount, Mr., Rt Hon Lord, The Right Honourable Lord*

*** Based on the following salutations: Mrs., Countess, Miss, Ms,*

Marquess, Ms., Mrs, Lady, Comtesse, Sister, Baroness, Dame

Please provide data broken down by year for each of the last five years, with a year-end of June 30th.

If you cannot provide five years of data, please provide four years of data. If you cannot provide four years of data, please provide three years of data. If you cannot provide three years of data, please provide two years of data. If you cannot provide two years of data, please provide one year of data."

On 18 August 2023 we wrote to you for clarification on what you meant by the term 'insurance sector' and you clarified your request as follows on the same date:

"I can clarify that I am referring specifically to the General Insurance and Pure Protection Sector."