

18 January 2021

List of market makers and authorised primary dealers using the exemption under the UK version of Regulation (EU) No 236/2012 of the European Parliament and of the Council of 14 March 2012 on short selling and certain aspects of credit default swaps

According to Article 17(13) of the UK version of Regulation (EU) No 236/2012 of the European Parliament and of the Council of 14 March 2012 on short selling and certain aspects of credit default swaps ("UK SSR"), the FCA shall publish and keep up to date on its website a list of market makers and authorised primary dealers who are using the exemption under the UK SSR.

The FCA will update this list when new market makers or authorised primary dealers notify us of their intention to use of the exemption under Article 17(1) or Article 17(3) of the UK SSR for their trading activities on instruments admitted to trading in the UK and the FCA has not prohibited its use. Article 17(7) allows the FCA to prohibit the use of the exemption within 30 calendar days following the notification if the FCA considers that conditions for the use of the exemption are not met or subsequently if it considers those conditions are no longer satisfied.

Market Makers

Name of the notifying person	ID code* (e.g. BIC)
ADG MARKET MAKING LLP	AMMLGB21
ALL OPTIONS INTERNATIONAL B.V.	
ARDEN PARTNERS PLC	ARRRGB21
BANCO BILBAO VIZCAYA ARGENTARIA S.A	BBVAESMM
BANK OF AMERICA N.A.	BOFAGB22
BANK OF MONTREAL (LONDON BRANCH)	BOFMGB2X
BARCLAYS BANK PLC	BARCGB22
BARCLAYS BANK IRELAND PLC	BARCIE2DSEC
BARCLAYS CAPITAL SECURITIES LIMITED	BARCGBN1
BMO CAPITAL MARKETS LIMITED	NETHGB21
BNP PARIBAS UK LIMITED	BNPBGB21
BNP PARIBAS S.A.	BNPAFRPP

BNP PARIBAS ARBITRAGE	BNABFRRP
BofA SECURITIES EUROPE	BOFAFRP3
CANACCORD GENUITY LIMITED	CSTGB2L
CANADIAN IMPERIAL BANK OF COMMERCE LONDON BRANCH	CIBCGB2L
CANTOR FITZGERALD EUROPE	149380
CENKOS SECURITIES PLC	CNKOGB21001
CITADEL SECURITIES GCS IRELAND LIMITED	CSGIIE31
CITADEL SECURITIES (EUROPE) LIMITED	CDGEGB21
CITIBANK NA	CITIGB2L
CITIBANK EUROPE PLC	CITIE2X
CITIGROUP GLOBAL MARKETS LIMITED	SBILGB2L
CITIGROUP GLOBAL MARKETS EUROPE AG	SBILDEFF
CREDIT SUISSE INTERNATIONAL	CSFPGB2L
CREDIT SUISSE SECURITIES (EUROPE) LIMITED	CSFBGB2L
CREDIT SUISSE SECURITIES, S.V., S.A	CRESESM2XXX
CTC LONDON LIMITED	CTLNGB21
DEUTSCHE AG LONDON BRANCH	DEUTGB2L
DEUTSCHE BANK AKTIENGESELLSCHAFT	DEUTDEFF
DEXION CAPITAL PLC	DEXCGB21
DRW INVESTMENTS (UK) LIMITED	DRWIGB21
DRW EUROPE BV	
EXANE LIMITED	EXFLGB21
EXANE SA LONDON BRANCH	EXANFRPP
FINNCAP LTD	JMCMGB21
FLOW TRADERS B.V	FLTRNL21
GAIR LOCH ENTERPRISES LTD	GALNGB21
GFI SECURITIES LIMITED	GFIGGB2L
GMP SECURITIES EUROPE LLP	GMPSGB21
GOLDMAN SACHS INTERNATIONAL	GSILGB2X
GOLDMAN SACHS INTERNATIONAL BANK	GOSNGB2L
GOLDMAN SACHS BANK EUROPE SE	GOLDDEFAXXX
GOLDMAN SACHS BANK USA	GSCMUS33
HSBC BANK PLC	MIDLGB22
HSBC FRANCE SA	CCFRFRPP
HUDSON RIVER TRADING EUROPE LTD.	HRTEGB21
IG MARKETS LTD	IGMLGB21
INVESTEC BANK PLC	IHCSGB21002

ISM CAPITAL LLP	ISCLGB21
JANE STREET FINANCIAL LIMITED	JASFGB21
JOH. BERENBERG, GOSSLER & CO. KG	BEGODEHH
J P MORGAN AG	CHASEDEFXXXX
J.P. MORGAN CHASE BANK NA LONDON BRANCH	CHASGB2L
J.P. MORGAN SECURITIES PLC	JPMSGB2LMOS
JEFFERIES INTERNATIONAL LIMITED	JEFFGB2X
J&E DAVY (UK) LIMITED	
JUMP TRADING INTERNATIONAL LIMITED	JTRNGB21
KCG EUROPE LIMITED	NITEGB2L
LIBERUM CAPITAL LIMITED	465050
LIQUID CAPITAL MARKETS LIMITED	LICRGB21
LLOYDS BANK CORPORATE MARKETS PLC	LLCMGB22
LLOYDS BANK PLC	LOYDGB2L
MACQUARIE CAPITAL EUROPE LIMITED	MAPUGB21
MAKO GLOBAL DERIVATIVES PARTNERSHIP LLP	MAKGGB21
MAVEN DERIVATIVES LIMITED	MADTGB21
MEDIOBANCA	BAMEITMM
MERRILL LYNCH INTERNATIONAL	MLILGB3L
MITSUBISHI UFJ SECURITIES INTERNATIONAL PLC	MTILGB2L
MIZUHO INTERNATIONAL PLC	IBJIGB2L
MORGAN STANLEY & CO INTERNATIONAL PLC	MSLNGB2X
MORGAN STANLEY & CO. LLC	MSNYUS33
MORGAN STANLEY CAPITAL SERVICES LLC	MSCSUS33
MORGAN STANLEY EUROPE SE	MSSDEFB
NATIXIS SA	
NATWEST MARKETS PLC	RBOSGB2RTCM
NOMURA INTERNATIONAL PLC	NOMAGB2L
NPLUS1 SINGER CAPITAL MARKETS LIMITED	SNGRGB21
NUMIS SECURITIES LIMITED	NUSEGB21
OPTIVER VOF	OPTVNL21
PANMURE GORDON (UK) LIMITED	PMURGB2L
PEEL HUNT LLP	530083
PRINCIPLE TRADING LLP	PRTPGB21
QUBE MASTER FUND LTD	
RBC EUROPE LIMITED	ROYCGB22
RONIN TRADING EUROPE LLP	RTEPGB21

SCOTIABANK EUROPE PLC	NOSCGB2L
SHORE CAPITAL SECURITIES LIMITED	SHOCGB2L
SOCIETE GENERALE INTERNATIONAL LTD	NEWGGB2L
SOCIETE GENERALE OPTIONS EUROPE S.A.	SOGEFRPPSGO
SOCIETE GENERALE S.A.	SOGEFRPP
SPIRE EUROPE LIMITED	SPIRGB21
STANDARD BANK PLC	SBLLGB2L
STANDARD CHARTERED	SCBLGB2L
STIFEL NICOLAUS EUROPE LIMITED	STNOGB21STF
THE BANK OF NOVA SCOTIA LONDON BRANCH	NOSCGB22
THE KYTE GROUP LIMITED	KYGRGB21
TIBRA TRADING EUROPE LIMITED	TITRGB21
UBS AG (HEAD OFFICE, ZURICH)	UBSWCHZH
UBS AG (LONDON BRANCH)	UBSWGGB2L
UBS EUROPE SE	UBSWDEFFXXX
UBS LIMITED	UBSWGGB24
UNICREDIT BANK AG	
VIRTU FINANCIAL IRELAND LIMITED	
WESTHOUSE SECURITIES LIMITED	WSLPGB21
WEBB TRADERS B.V	
WEBB TRADERS U.K.	
WH IRELAND LIMITED	WHISGB21
WINTERFLOOD SECURITIES LIMITED	WNTSGB21
WOLVERINE TRADING UK LIMITED	WOTRGB21
XTX MARKETS LIMITED	TXMKGB21

Authorised Primary Dealers

Name of the notifying person	ID code* (e.g. BIC)
ABBAY NATIONAL TREASURY SERVICES PLC	ANTSGB2L
BANCO SANTANDER S.A. LONDON BRANCH	BSCHGB2L
BANK OF AMERICA SECURITIES LIMITED	BOFAGB2U
BARCLAYS BANK PLC	BARCGB22
BNP PARIBAS S.A.	BNPAFRPP
CITIGROUP GLOBAL MARKETS LIMITED	SBILGB2L

CREDIT SUISSE SECURITIES (EUROPE) LIMITED	CSFBGB2L
DEUTSCHE BANK AG	DEUTDEFF
GOLDMAN SACHS INTERNATIONAL	GSILGB2X
GOLDMAN SACHS INTERNATIONAL BANK	GOSNGB2L
HSBC BANK PLC	MIDLGB21
J.P. MORGAN SECURITIES PLC	JPMSGB2LMOS
JEFFERIES INTERNATIONAL LIMITED	JEFFGB2X
LLOYDS BANK CORPORATE MARKETS PLC	LLCMGB22
LLOYDS BANK PLC	LOYDGB2L
MERRILL LYNCH INTERNATIONAL	MLILGB3L
MERRILL LYNCH INTERNATIONAL BANK LIMITED	MLIBGB2J
MORGAN STANLEY & CO INTERNATIONAL PLC	MSLNGB2X
NATWEST MARKETS PLC	RBOSGB2RTCM
NOMURA INTERNATIONAL PLC	NOMAGB2L
RBC EUROPE LIMITED	ROYCGB22
SCOTIABANK EUROPE PLC	NOSCGB2L
SOCIETE GENERALE SA	SOGEFRPPHCM
THE TORONTO-DOMINION BANK LONDON BRANCH	TDOMGB2L
UBS LIMITED	UBSWG24
WINTERFLOOD SECURITIES LIMITED	WNTSGB21