

Appendix B – Prudential Category

What is it?

We allocate firms a prudential category depending on the type of financial service they provide. This is decided during the authorisation process and remains an essential piece of information while you are an authorised firm.

Why is it important?

Your prudential category tells you in which sourcebook (in the Handbook) you will find your firm's reporting requirements, allows you to submit the required reports and also tells you what your capital requirements are. **There is further information on this in Appendices C and D of this pack.**

What is my category?

Details of your prudential category and which sourcebook you should refer to, have been provided in your authorisation letter.