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Click on each button for more details.

Activity location
- UK, or connections between activities and the UK

Activity type
- Managing investments (ie discretionary portfolio management)
- Managing a UCITS or an AIF, or establishing CIS

Management company or delegate manager?
- Delegate management

What type of collective account?
- Managing investments
- Managing a UCITS
- Managing an AIF

Which EU Directive(s)?
- MiFID investment firm or an AIFM or UCITS investment firm
- UCITS Man CO
- AIFM

Which Part 4A permission?
- Managing investments (firm needs to decide which additional permissions are also required, eg advising on investments)
- Managing UCITS
- Managing AIFs

CIS not UCITS
- Establishing, operating and winding up a CIS

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