

Number of Skilled Persons Reports commissioned in 2024/25 Q4 (1 January – 31 March 2025)

Figure 1.

Market	Number of Skilled Persons Reports commissioned in 2024/25 Q4		
Consumer Finance	0		
Consumer Investments	6		
Infrastructure & Exchanges	0		
Insurance	0		
Payments & Digital Assets	0		
Retail Banking	0		
Wholesale Buy-Side	0		
Wholesale Sell-Side	1		
Total	7		

Figure 2.

Skilled Person Panel Lot	FCA Supervision Categories		
	Dedicated supervision firm	Portfolio supervision firm	Total
Lot B: Governance, accountability, strategy and culture	0	1	1
Lot C: Controls and risk management frameworks	0	2	2
Lot D: Conduct of business	0	3	3
Lot H: Prudential - Adequate Financial Resources for FCA solo-regulated firms	0	1	1
Total	0	7	7

Notes:

- 1. For 2024/25, Figure 1 has been updated to reflect our current framework for classifying firms by financial market.
- 2. Details of the <u>FCA's Approach to Supervision</u> can be found on our website..
- 3. Of the 7 Skilled Person Reports commissioned in 2024/25 Q4, none were commissioned under the FCA's power to contract directly with a Skilled Person.
- 4. Where a firm contracts with a Skilled Person, the firm is responsible for the selection and appointment of the Skilled Person, with the FCA approving any such selection in accordance with SUP 5.4.8G.
- 5. Details of the different <u>Skilled Person Report categories or Lots</u> can be found on the FCA website.
- 6. The figures stated are correct as of **31 March 2025** but may be subject to change.