

# FCA - British Steel Pension Scheme File Review Data



Firm No.	Date	Pension	Investment	Insistent	Group	Weight	Pension2	Sizegroup	Group1or2	Transfers	Changed	Advised	Totaladvised	Ongoing	Conversion	Conversiongp	Month	Year	Quarter	
1	02-Nov-17	Suitable	Suitable	N/A		2.00	55.39	1.00	1.00	Group 2a (file reviews)	1.00	0.00	1.00	1.00	No	100.00	3.00	11.00	2017.00	3.00
2	06-Feb-18	Suitable	Suitable	N/A		2.00	15.98	1.00	2.00	Group 2a (file reviews)	10.00	-1.00	10.00	17.00	No	58.82	2.00	2.00	2018.00	4.00
3	24-Oct-17	Unsuitable	Unsuitable	N/A		2.00	66.58	2.00	1.00	Group 2a (file reviews)	5.00	0.00	5.00	6.00	No	83.33	3.00	10.00	2017.00	3.00
4	17-Nov-17	Suitable	Suitable	N/A		1.00	7.56	1.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	11.00	2017.00	3.00
4	#NULL!	Suitable	Unknown	N/A		1.00	7.56	1.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
4	#NULL!	Suitable	Unknown	N/A		1.00	7.56	1.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
4	#NULL!	Suitable	Unknown	N/A		1.00	7.56	1.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
4	#NULL!	Suitable	Unknown	N/A		1.00	7.56	1.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
4	#NULL!	Suitable	Unknown	N/A		1.00	7.56	1.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
4	31-Oct-17	Unsuitable	Unsuitable	N/A		1.00	7.56	2.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	10.00	2017.00	3.00
4	#NULL!	Unsuitable	Unknown	N/A		1.00	7.56	2.00	2.00	Group 1	68.00	#NULL!	51.00	68.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
5	11-Dec-17	Suitable	0			1.00	8.00	1.00	2.00	Group 1	16.00	0.00	#NULL!	28.00	Yes	-9.00	4.00	12.00	2017.00	3.00
5	23-Feb-18	Unsuitable	Suitable			1.00	8.00	2.00	2.00	Group 1	16.00	0.00	#NULL!	28.00	Yes	-9.00	4.00	2.00	2018.00	4.00
6	05-Apr-17	Unsuitable	Suitable			1.00	29.50	2.00	2.00	Group 1	59.00	0.00	59.00	59.00	Yes	100.00	3.00	4.00	2017.00	1.00
6	12-Aug-17	Unsuitable	Suitable			1.00	29.50	2.00	2.00	Group 1	59.00	0.00	59.00	59.00	Yes	100.00	3.00	8.00	2017.00	2.00
7	23-Nov-17	Suitable	Suitable	N/A		1.00	11.67	1.00	3.00	Group 1	70.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	11.00	2017.00	3.00
7	18-Dec-17	Suitable	Suitable	N/A		1.00	11.67	1.00	3.00	Group 1	70.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	12.00	2017.00	3.00
7	22-Dec-17	Suitable	Suitable	N/A		1.00	11.67	1.00	3.00	Group 1	70.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	12.00	2017.00	3.00
7	07-Nov-17	Suitable	Suitable	N/A		1.00	11.67	1.00	3.00	Group 1	70.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	11.00	2017.00	3.00
7	23-Nov-17	Suitable	Suitable	N/A		1.00	11.67	1.00	3.00	Group 1	70.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	11.00	2017.00	3.00
7	#NULL!	Suitable	Suitable	N/A		1.00	11.67	1.00	3.00	Group 1	70.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	#NULL!	#NULL!	#NULL!
8	30-Nov-17	Unsuitable	Suitable			1.00	32.00	2.00	2.00	Group 1	32.00	0.00	27.00	33.00	Yes	81.82	3.00	11.00	2017.00	3.00
9	20-Dec-17	Suitable	Suitable	N/A		2.00	26.63	1.00	1.00	Group 2a (file reviews)	5.00	2.00	5.00	12.00	No	41.67	1.00	12.00	2017.00	3.00
10	12-Jan-18	Suitable	Suitable			1.00	5.45	1.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	1.00	2018.00	4.00
10	15-Dec-17	Unsuitable	Suitable			1.00	5.45	2.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	12.00	2017.00	3.00
10	27-Oct-17	Unsuitable	Suitable			1.00	5.45	2.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	10.00	2017.00	3.00
10	19-Nov-17	Unsuitable	Suitable			1.00	5.45	2.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	11.00	2017.00	3.00
10	30-Nov-17	Unsuitable	Suitable			1.00	5.45	2.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	11.00	2017.00	3.00
10	09-Feb-18	Unsuitable	Suitable			1.00	5.45	2.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	2.00	2018.00	4.00
10	07-Dec-17	Unsuitable	Suitable			1.00	5.45	2.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	12.00	2017.00	3.00
10	17-Jan-18	Not compliant				1.00	5.45	3.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	1.00	2018.00	4.00
10	17-Jan-18	Not compliant				1.00	5.45	3.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	1.00	2018.00	4.00
10	08-Sep-17	Not compliant				1.00	5.45	3.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	9.00	2017.00	2.00
10	12-Jan-18	Not compliant				1.00	5.45	3.00	2.00	Group 1	60.00	0.00	60.00	63.00	Yes	95.24	3.00	1.00	2018.00	4.00
11	27-Oct-17	Suitable	Suitable	N/A		2.00	9.59	1.00	1.00	Group 2a (file reviews)	3.00	0.00	3.00	6.00	No	50.00	2.00	10.00	2017.00	3.00
12	11-Dec-17	Unsuitable	Suitable	N/A		2.00	73.86	2.00	2.00	Group 2a (file reviews)	52.00	0.00	52.00	53.00	No	98.11	3.00	12.00	2017.00	3.00
13	12-Dec-17	Unsuitable	Suitable	N/A		2.00	74.57	2.00	2.00	Group 2a (file reviews)	28.00	0.00	28.00	29.00	No	96.55	3.00	12.00	2017.00	3.00
14	16-Oct-17	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	10.00	2017.00	3.00
14	23-Oct-17	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	10.00	2017.00	3.00
14	25-Oct-17	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	10.00	2017.00	3.00
14	01-Nov-17	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	11.00	2017.00	3.00
14	17-Nov-17	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	11.00	2017.00	3.00
14	28-Nov-17	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	11.00	2017.00	3.00
14	07-Dec-17	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	12.00	2017.00	3.00
14	29-Jan-18	Unsuitable	Suitable	N/A		1.00	14.00	2.00	3.00	Group 1	112.00	0.00	112.00	141.00	Yes	79.43	3.00	1.00	2018.00	4.00
15	19-Oct-17	Suitable	Suitable	N/A		2.00	59.12	1.00	3.00	Group 2a (file reviews)	111.00	40.00	111.00	100.00	No	100.00	3.00	10.00	2017.00	3.00
15	18-Jan-18	Unsuitable	Suitable	N/A		2.00	59.12	2.00	3.00	Group 2a (file reviews)	111.00	40.00	111.00	111.00	No	100.00	3.00	1.00	2018.00	4.00
16	22-Jan-18	Unclear	Unclear	N/A		2.00	2.13	3.00	1.00	Group 2a (file reviews)	2.00	0.00	2.00	10.00	No	20.00	1.00	1.00	2018.00	4.00
17	01-Dec-17	Unclear	Unclear	N/A		2.00	44.74	3.00	1.00	Group 2a (file reviews)	2.00	0.00	2.00	2.00	No	100.00	3.00	12.00	2017.00	3.00
18	06-Sep-17	Unsuitable	Suitable			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	9.00	2017.00	2.00
18	16-Dec-17	Unsuitable	0			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	12.00	2017.00	3.00
18	18-Jan-18	Unsuitable	Suitable			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	1.00	2018.00	4.00
18	17-Oct-17	Unsuitable	Suitable			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	10.00	2017.00	3.00
18	10-Oct-17	Unsuitable	Suitable			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	10.00	2017.00	3.00
18	10-Nov-17	Unsuitable	Suitable			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	11.00	2017.00	3.00
18	10-Nov-17	Unsuitable	Suitable			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	11.00	2017.00	3.00
18	25-Nov-17	Unsuitable	Suitable			1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	11.00	2017.00	3.00

Firm No.	Date	Pension	Investment	Insistent	Group	Weight	Pension2	Sizegroup	Group1or2	Transfers	Changed	Advised	Totaladvised	Ongoing	Conversion	Conversiongp	Month	Year	Quarter
18	07-Dec-17	Unsuitable	Suitable		1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	12.00	2017.00	3.00
18	07-Dec-17	Unsuitable	Suitable		1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	12.00	2017.00	3.00
18	07-Dec-17	Unsuitable	Suitable		1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	12.00	2017.00	3.00
18	11-Dec-17	Unsuitable	Unsuitable		1.00	9.40	2.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	12.00	2017.00	3.00
18	10-Dec-17	Not compliant			1.00	9.40	3.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	12.00	2017.00	3.00
18	06-Jan-18	Not compliant			1.00	9.40	3.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	1.00	2018.00	4.00
18	06-Jan-18	Not compliant			1.00	9.40	3.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	1.00	2018.00	4.00
18	16-Aug-17	Not compliant			1.00	9.40	3.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	8.00	2017.00	2.00
18	05-Nov-17	Not compliant			1.00	9.40	3.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	11.00	2017.00	3.00
18	24-Nov-17	Not compliant			1.00	9.40	3.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	11.00	2017.00	3.00
18	01-Dec-17	Not compliant			1.00	9.40	3.00	3.00	Group 1	188.00	0.00	188.00	222.00	Yes	84.68	3.00	12.00	2017.00	3.00
19	22-Jan-18	Suitable	Suitable	N/A	2.00	45.80	1.00	2.00	Group 2a (file reviews)	43.00	-1.00	43.00	48.00	No	89.58	3.00	1.00	2018.00	4.00
20	26-Oct-17	Suitable	Suitable	N/A	2.00	72.43	1.00	2.00	Group 2a (file reviews)	34.00	0.00	34.00	34.00	No	100.00	3.00	10.00	2017.00	3.00
21	06-Nov-17	Unsuitable	Suitable	N/A	2.00	7.46	2.00	1.00	Group 2a (file reviews)	1.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	11.00	2017.00	3.00
22	28-Feb-18	Unclear	Unclear	N/A	2.00	3.20	3.00	1.00	Group 2a (file reviews)	3.00	0.00	3.00	10.00	No	30.00	1.00	2.00	2018.00	4.00
23	09-Oct-17	Unclear	Unclear	N/A	2.00	23.43	3.00	1.00	Group 2a (file reviews)	4.00	-1.00	4.00	9.00	No	44.44	1.00	10.00	2017.00	3.00
24	24-Oct-17	Suitable	Suitable		1.00	12.50	1.00	2.00	Group 1	25.00	0.00	25.00	25.00	Yes	100.00	3.00	10.00	2017.00	3.00
24	24-Oct-17	Suitable	Suitable		1.00	12.50	1.00	2.00	Group 1	25.00	0.00	25.00	25.00	Yes	100.00	3.00	10.00	2017.00	3.00
25	29-Nov-17	Suitable	Suitable	N/A	2.00	44.74	1.00	1.00	Group 2a (file reviews)	3.00	0.00	3.00	3.00	No	100.00	3.00	11.00	2017.00	3.00
26	16-Aug-17	Suitable	Suitable	N/A	2.00	116.11	1.00	3.00	Group 2a (file reviews)	218.00	-1.00	218.00	638.00	No	34.17	1.00	8.00	2017.00	2.00
26	23-Nov-17	Unsuitable	Suitable	N/A	2.00	116.11	2.00	3.00	Group 2a (file reviews)	218.00	-1.00	218.00	638.00	No	34.17	1.00	11.00	2017.00	3.00
27	07-Nov-17	Unsuitable	Suitable	N/A	2.00	71.90	2.00	2.00	Group 2a (file reviews)	15.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	11.00	2017.00	3.00
28	13-Dec-17	Unsuitable	Suitable		1.00	1.00	2.00	1.00	Group 1	1.00	0.00	1.00	1.00	Yes	-9.00	4.00	12.00	2017.00	3.00
29	17-Nov-17	Suitable	Suitable	N/A	2.00	28.76	1.00	2.00	Group 2a (file reviews)	27.00	-3.00	27.00	43.00	No	62.79	2.00	11.00	2017.00	3.00
30	17-Nov-17	Suitable	Suitable	N/A	2.00	66.58	1.00	2.00	Group 2a (file reviews)	25.00	2.00	25.00	27.00	No	92.59	3.00	11.00	2017.00	3.00
31	07-Feb-18	Suitable	Unsuitable	N/A	2.00	26.10	1.00	2.00	Group 2a (file reviews)	49.00	-5.00	49.00	81.00	No	60.49	2.00	2.00	2018.00	4.00
31	28-Dec-17	Unsuitable	Suitable	N/A	2.00	26.10	2.00	2.00	Group 2a (file reviews)	49.00	-5.00	49.00	81.00	No	60.49	2.00	12.00	2017.00	3.00
32	08-Sep-17	Suitable	Suitable	N/A	2.00	63.38	1.00	3.00	Group 2a (file reviews)	119.00	9.00	119.00	125.00	No	95.20	3.00	9.00	2017.00	2.00
32	13-Nov-17	Unsuitable	Suitable	N/A	2.00	63.38	2.00	3.00	Group 2a (file reviews)	119.00	9.00	119.00	125.00	No	95.20	3.00	11.00	2017.00	3.00
33	22-Sep-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	9.00	2017.00	2.00
33	19-Oct-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	10.00	2017.00	3.00
33	30-Oct-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	10.00	2017.00	3.00
33	16-Aug-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	8.00	2017.00	2.00
33	04-Oct-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	10.00	2017.00	3.00
33	17-Jun-17	Not compliant			1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	6.00	2017.00	1.00
33	13-Sep-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	9.00	2017.00	2.00
33	02-Nov-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	11.00	2017.00	3.00
33	03-Nov-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	11.00	2017.00	3.00
33	24-Nov-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	11.00	2017.00	3.00
33	09-Aug-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	8.00	2017.00	2.00
33	10-Apr-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	4.00	2017.00	1.00
33	25-Sep-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	9.00	2017.00	2.00
33	15-Nov-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	11.00	2017.00	3.00
33	08-Aug-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	8.00	2017.00	2.00
33	25-Oct-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	10.00	2017.00	3.00
33	08-Nov-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	11.00	2017.00	3.00
33	18-Aug-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	8.00	2017.00	2.00
33	16-Aug-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	8.00	2017.00	2.00
33	11-Apr-17	Not compliant		Not compliant	1.00	7.20	3.00	3.00	Group 1	144.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	4.00	2017.00	1.00
34	25-Aug-17	Suitable	Suitable	N/A	1.00	18.50	1.00	3.00	Group 1	74.00	0.00	66.00	74.00	Yes	89.19	3.00	8.00	2017.00	2.00
34	26-Jan-18	Suitable	Suitable	Not compliant	1.00	18.50	1.00	3.00	Group 1	74.00	0.00	66.00	74.00	Yes	89.19	3.00	1.00	2018.00	4.00
34	21-Dec-17	Suitable	Suitable		1.00	18.50	1.00	3.00	Group 1	74.00	0.00	66.00	74.00	Yes	89.19	3.00	12.00	2017.00	3.00
34	11-Nov-17	Unsuitable	Suitable	N/A	1.00	18.50	2.00	3.00	Group 1	74.00	0.00	66.00	74.00	Yes	89.19	3.00	11.00	2017.00	3.00
35	24-Oct-17	Unsuitable	Suitable	N/A	2.00	11.72	2.00	2.00	Group 2a (file reviews)	11.00	0.00	11.00	28.00	No	39.29	1.00	10.00	2017.00	3.00
36	22-Aug-17	Suitable	Unsuitable		1.00	20.62	1.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	8.00	2017.00	2.00
36	22-Mar-17	Suitable	Suitable		1.00	20.62	1.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	3.00	2017.00	1.00
36	24-Jul-17	Unsuitable	Unsuitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	7.00	2017.00	2.00
36	01-Sep-17	Unsuitable	Suitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	9.00	2017.00	2.00
36	12-Oct-17	Unsuitable	Suitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	10.00	2017.00	3.00

Firm No.	Date	Pension	Investment	Insistent	Group	Weight	Pension2	Sizegroup	Group1or2	Transfers	Changed	Advised	Totaladvised	Ongoing	Conversion	Conversiongp	Month	Year	Quarter
36	23-Oct-17	Unsuitable	Suitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	10.00	2017.00	3.00
36	27-Oct-17	Unsuitable	Suitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	10.00	2017.00	3.00
36	14-Nov-17	Unsuitable	Suitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	11.00	2017.00	3.00
36	04-Aug-17	Unsuitable	Unsuitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	8.00	2017.00	2.00
36	29-Aug-17	Unsuitable	Unsuitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	8.00	2017.00	2.00
36	31-Oct-17	Unsuitable	Suitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	10.00	2017.00	3.00
36	24-Nov-17	Unsuitable	Suitable		1.00	20.62	2.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	11.00	2017.00	3.00
36	17-Aug-17	Not compliant			1.00	20.62	3.00	3.00	Group 1	268.00	0.00	263.00	268.00	Yes	98.13	3.00	8.00	2017.00	2.00
37	20-Jan-18	Suitable	Suitable	N/A	2.00	44.21	1.00	3.00	Group 2a (file reviews)	83.00	0.00	85.00	124.00	Yes	68.55	2.00	1.00	2018.00	4.00
37	10-Jan-18	Unsuitable	Suitable	N/A	2.00	44.21	2.00	3.00	Group 2a (file reviews)	83.00	0.00	85.00	124.00	Yes	68.55	2.00	1.00	2018.00	4.00
38	29-Nov-17	Unsuitable	Suitable		1.00	20.00	2.00	2.00	Group 1	20.00	0.00	22.00	23.00	Yes	95.65	3.00	11.00	2017.00	3.00
39	01-Feb-18	Suitable	Suitable	N/A	2.00	41.54	1.00	2.00	Group 2a (file reviews)	26.00	0.00	30.00	43.00	No	69.77	2.00	2.00	2018.00	4.00
40	11-Nov-17	Unsuitable	Suitable	N/A	2.00	95.87	2.00	2.00	Group 2a (file reviews)	45.00	19.00	45.00	45.00	No	100.00	3.00	11.00	2017.00	3.00
41	12-Oct-17	Unsuitable	Suitable		1.00	8.40	2.00	2.00	Group 1	42.00	0.00	42.00	42.00	Yes	100.00	3.00	10.00	2017.00	3.00
41	22-Jan-18	Not compliant			1.00	8.40	3.00	2.00	Group 1	42.00	0.00	42.00	42.00	Yes	100.00	3.00	1.00	2018.00	4.00
41	09-Oct-17	Not compliant			1.00	8.40	3.00	2.00	Group 1	42.00	0.00	42.00	42.00	Yes	100.00	3.00	10.00	2017.00	3.00
41	04-Dec-17	Not compliant			1.00	8.40	3.00	2.00	Group 1	42.00	0.00	42.00	42.00	Yes	100.00	3.00	12.00	2017.00	3.00
41	20-Dec-17	Not compliant			1.00	8.40	3.00	2.00	Group 1	42.00	0.00	42.00	42.00	Yes	100.00	3.00	12.00	2017.00	3.00
42	24-Aug-17	Unsuitable	Unsuitable		1.00	21.20	2.00	3.00	Group 1	106.00	0.00	106.00	113.00	Yes	93.81	3.00	8.00	2017.00	2.00
42	21-Sep-17	Unsuitable	Unsuitable		1.00	21.20	2.00	3.00	Group 1	106.00	0.00	106.00	113.00	Yes	93.81	3.00	9.00	2017.00	2.00
42	30-Oct-17	Unsuitable	Suitable		1.00	21.20	2.00	3.00	Group 1	106.00	0.00	106.00	113.00	Yes	93.81	3.00	10.00	2017.00	3.00
42	04-Nov-17	Not compliant			1.00	21.20	3.00	3.00	Group 1	106.00	0.00	106.00	113.00	Yes	93.81	3.00	11.00	2017.00	3.00
42	10-Nov-17	Not compliant			1.00	21.20	3.00	3.00	Group 1	106.00	0.00	106.00	113.00	Yes	93.81	3.00	11.00	2017.00	3.00
43	31-Jan-18	Unsuitable	Suitable	N/A	2.00	70.30	2.00	2.00	Group 2a (file reviews)	33.00	-1.00	33.00	33.00	No	100.00	3.00	1.00	2018.00	4.00
44	04-Oct-17	Unclear	Unclear	Not Compliant	2.00	24.50	3.00	2.00	Group 2a (file reviews)	23.00	0.00	#NULL!	24.00	Yes	-9.00	4.00	10.00	2017.00	3.00
45	20-Jun-17	Suitable	Suitable	Compliant	2.00	21.30	1.00	1.00	Group 2a (file reviews)	2.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	6.00	2017.00	1.00
46	22-Oct-17	Suitable	Suitable	N/A	1.00	61.33	1.00	3.00	Group 1	184.00	0.00	184.00	186.00	No	98.92	3.00	10.00	2017.00	3.00
46	21-Nov-17	Suitable	Suitable	N/A	1.00	61.33	1.00	3.00	Group 1	184.00	0.00	184.00	186.00	No	98.92	3.00	11.00	2017.00	3.00
46	10-Jan-18	Suitable	Suitable	N/A	1.00	61.33	1.00	3.00	Group 1	184.00	0.00	184.00	186.00	No	98.92	3.00	1.00	2018.00	4.00
47	04-Sep-17	Suitable	Suitable	N/A	2.00	37.28	1.00	2.00	Group 2a (file reviews)	35.00	-1.00	35.00	61.00	No	57.38	2.00	9.00	2017.00	2.00
48	15-Nov-17	Unsuitable	Suitable	N/A	2.00	73.86	2.00	2.00	Group 2a (file reviews)	52.00	1.00	52.00	53.00	No	98.11	3.00	11.00	2017.00	3.00
49	10-Oct-17	Unsuitable	Suitable	N/A	2.00	63.91	2.00	2.00	Group 2a (file reviews)	10.00	0.00	10.00	10.00	No	100.00	3.00	10.00	2017.00	3.00
50	29-Dec-17	Unsuitable	Suitable	N/A	2.00	78.12	2.00	2.00	Group 2a (file reviews)	55.00	2.00	55.00	57.00	No	96.49	3.00	12.00	2017.00	3.00
51	28-Nov-17	Unclear	Unclear	N/A	2.00	76.70	3.00	2.00	Group 2a (file reviews)	16.00	5.00	16.00	18.00	No	88.89	3.00	11.00	2017.00	3.00
52	23-Jan-18	Suitable	Suitable	N/A	2.00	93.21	1.00	1.00	Group 2a (file reviews)	7.00	-1.00	7.00	8.00	No	87.50	3.00	1.00	2018.00	4.00
53	08-Jan-18	Unclear	Unclear	N/A	2.00	2.13	3.00	1.00	Group 2a (file reviews)	1.00	0.00	1.00	5.00	No	20.00	1.00	1.00	2018.00	4.00
54	20-Nov-17	Unsuitable	Suitable	N/A	2.00	28.76	2.00	2.00	Group 2a (file reviews)	18.00	1.00	18.00	32.00	No	56.25	2.00	11.00	2017.00	3.00
55	03-Nov-17	Suitable	Suitable	N/A	1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	11.00	2017.00	3.00
55	06-Dec-17	Suitable	Unsuitable	N/A	1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	12.00	2017.00	3.00
55	07-Dec-17	Suitable	Unsuitable	N/A	1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	12.00	2017.00	3.00
55	30-Jan-18	Suitable	Unsuitable	N/A	1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	1.00	2018.00	4.00
55	29-Nov-17	Suitable	Suitable		1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	11.00	2017.00	3.00
55	22-Jan-18	Suitable	Unsuitable		1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	1.00	2018.00	4.00
55	#NULL!	Suitable	N/A	N/A	1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	#NULL!	#NULL!	#NULL!
55	#NULL!	Suitable	N/A	N/A	1.00	4.53	1.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	#NULL!	#NULL!	#NULL!
55	26-Oct-17	Unsuitable	Suitable	N/A	1.00	4.53	2.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	10.00	2017.00	3.00
55	03-Jan-18	Unsuitable	Unsuitable	N/A	1.00	4.53	2.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	1.00	2018.00	4.00
55	11-Jan-18	Unsuitable	Unsuitable	N/A	1.00	4.53	2.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	1.00	2018.00	4.00
55	#NULL!	Unsuitable	N/A	N/A	1.00	4.53	2.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	#NULL!	#NULL!	#NULL!
55	#NULL!	Unsuitable	N/A	N/A	1.00	4.53	2.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	#NULL!	#NULL!	#NULL!
55	30-Nov-17	Not compliant			1.00	4.53	3.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	11.00	2017.00	3.00
55	18-Jan-18	Not compliant			1.00	4.53	3.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	1.00	2018.00	4.00
55	#NULL!	Unclear	N/A	N/A	1.00	4.53	3.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	#NULL!	#NULL!	#NULL!
55	#NULL!	Unclear	N/A	N/A	1.00	4.53	3.00	3.00	Group 1	77.00	0.00	77.00	77.00	Yes	100.00	3.00	#NULL!	#NULL!	#NULL!
56	10-Jan-18	Suitable	Suitable	N/A	2.00	78.47	1.00	3.00	Group 2a (file reviews)	221.00	-12.00	221.00	385.00	No	57.40	2.00	1.00	2018.00	4.00
56	13-Nov-17	Unsuitable	Suitable	N/A	2.00	78.47	2.00	3.00	Group 2a (file reviews)	221.00	-12.00	221.00	385.00	No	57.40	2.00	11.00	2017.00	3.00
56	30-Oct-17	Unsuitable	Suitable	N/A	2.00	78.47	2.00	3.00	Group 2a (file reviews)	221.00	-12.00	221.00	385.00	No	57.40	2.00	10.00	2017.00	3.00
57	30-Oct-17	Unsuitable	Unsuitable		2.00	57.52	2.00	3.00	Group 2a (file reviews)	98.00	44.00	98.00	114.00	No	86.00	3.00	10.00	2017.00	3.00
57	27-Nov-17	Unsuitable	Unsuitable		2.00	57.52	2.00	3.00	Group 2a (file reviews)	98.00	44.00	98.00	114.00	No	86.00	3.00	11.00	2017.00	3.00

Firm No.	Date	Pension	Investment	Insistent	Group	Weight	Pension2	Sizegroup	Group1or2	Transfers	Changed	Advised	Totaladvised	Ongoing	Conversion	Conversiongp	Month	Year	Quarter
58	08-Aug-17	Unsuitable	Suitable	N/A	2.00	22.37	2.00	1.00	Group 2a (file reviews)	7.00	0.00	7.00	16.00	No	43.75	1.00	8.00	2017.00	2.00
59	13-Nov-17	Suitable	Suitable	N/A	2.00	83.09	1.00	2.00	Group 2a (file reviews)	13.00	1.00	13.00	13.00	No	100.00	3.00	11.00	2017.00	3.00
60	09-Feb-18	Suitable	Suitable	N/A	2.00	44.74	1.00	1.00	Group 2a (file reviews)	3.00	0.00	3.00	3.00	No	100.00	3.00	2.00	2018.00	4.00
61	27-Sep-17	Suitable	Suitable		1.00	19.50	1.00	2.00	Group 1	39.00	0.00	39.00	43.00	Yes	90.70	3.00	9.00	2017.00	2.00
61	08-Jan-18	Unsuitable	Suitable		1.00	19.50	2.00	2.00	Group 1	39.00	0.00	39.00	43.00	Yes	90.70	3.00	1.00	2018.00	4.00
62	29-Nov-17	Unsuitable	Suitable	N/A	2.00	8.52	2.00	1.00	Group 2a (file reviews)	2.00	0.00	2.00	4.00	No	50.00	2.00	11.00	2017.00	3.00
63	17-Oct-17	Unsuitable	Unsuitable		1.00	16.20	2.00	3.00	Group 1	81.00	0.00	81.00	81.00	Yes	100.00	3.00	10.00	2017.00	3.00
63	20-Sep-17	Unsuitable	Suitable		1.00	16.20	2.00	3.00	Group 1	81.00	0.00	81.00	81.00	Yes	100.00	3.00	9.00	2017.00	2.00
63	11-Sep-17	Unsuitable	Unsuitable		1.00	16.20	2.00	3.00	Group 1	81.00	0.00	81.00	81.00	Yes	100.00	3.00	9.00	2017.00	2.00
63	21-Aug-17	Unsuitable	Suitable		1.00	16.20	2.00	3.00	Group 1	81.00	0.00	81.00	81.00	Yes	100.00	3.00	8.00	2017.00	2.00
63	15-May-17	Not compliant			1.00	16.20	3.00	3.00	Group 1	81.00	0.00	81.00	81.00	Yes	100.00	3.00	5.00	2017.00	1.00
64	27-Nov-17	Unsuitable	Unsuitable		1.00	6.00	2.00	2.00	Group 1	18.00	0.00	17.00	18.00	Yes	94.44	3.00	11.00	2017.00	3.00
64	02-Nov-17	Not compliant			1.00	6.00	3.00	2.00	Group 1	18.00	0.00	17.00	18.00	Yes	94.44	3.00	11.00	2017.00	3.00
64	26-Jan-18	Not compliant			1.00	6.00	3.00	2.00	Group 1	18.00	0.00	17.00	18.00	Yes	94.44	3.00	1.00	2018.00	4.00
65	05-Feb-18	Suitable	Suitable	N/A	2.00	55.39	1.00	1.00	Group 2a (file reviews)	1.00	0.00	1.00	1.00	No	100.00	3.00	2.00	2018.00	4.00
66	05-Dec-17	Suitable	Suitable		1.00	21.00	1.00	2.00	Group 1	21.00	0.00	21.00	27.00	Yes	77.78	3.00	12.00	2017.00	3.00
67	23-Nov-17	Suitable	Suitable	N/A	2.00	87.88	1.00	2.00	Group 2a (file reviews)	15.00	10.00	15.00	18.00	No	83.33	3.00	11.00	2017.00	3.00
68	23-Jan-18	Suitable	Suitable	N/A	2.00	30.36	1.00	2.00	Group 2a (file reviews)	19.00	-3.00	19.00	27.00	No	70.37	2.00	1.00	2018.00	4.00
69	29-Nov-17	Unsuitable	Suitable	N/A	2.00	75.63	2.00	3.00	Group 2a (file reviews)	142.00	38.00	142.00	142.00	No	100.00	3.00	11.00	2017.00	3.00
69	05-Nov-17	Unsuitable	Unsuitable	N/A	2.00	75.63	2.00	3.00	Group 2a (file reviews)	142.00	38.00	142.00	142.00	No	100.00	3.00	11.00	2017.00	3.00
70	28-Nov-17	Suitable	Unclear	N/A	1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	11.00	2017.00	3.00
70	16-Nov-17	Suitable	Suitable	N/A	1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	11.00	2017.00	3.00
70	05-Dec-17	Suitable	Suitable	N/A	1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	12.00	2017.00	3.00
70	18-Mar-17	Suitable	Unclear	N/A	1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	3.00	2017.00	1.00
70	12-Dec-17	Suitable	Unclear	N/A	1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	12.00	2017.00	3.00
70	12-Jan-18	Suitable	Suitable		1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	1.00	2018.00	4.00
70	03-Oct-17	Suitable	Suitable		1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	10.00	2017.00	3.00
70	06-Nov-17	Suitable	Suitable		1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	11.00	2017.00	3.00
70	30-Dec-17	Suitable	Suitable		1.00	13.82	1.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	12.00	2017.00	3.00
70	#NULL!	Unsuitable	N/A	N/A	1.00	13.82	2.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	#NULL!	#NULL!	#NULL!
70	28-Nov-17	Not compliant			1.00	13.82	3.00	3.00	Group 1	152.00	0.00	152.00	174.00	No	87.36	3.00	11.00	2017.00	3.00
71	23-Oct-17	Suitable	Unclear	N/A	1.00	23.20	1.00	3.00	Group 1	116.00	0.00	116.00	116.00	No	100.00	3.00	10.00	2017.00	3.00
71	03-Nov-17	Suitable	Suitable	N/A	1.00	23.20	1.00	3.00	Group 1	116.00	0.00	116.00	116.00	No	100.00	3.00	11.00	2017.00	3.00
71	07-Nov-17	Suitable	Suitable	N/A	1.00	23.20	1.00	3.00	Group 1	116.00	0.00	116.00	116.00	No	100.00	3.00	11.00	2017.00	3.00
71	27-Oct-17	Suitable	Unclear	N/A	1.00	23.20	1.00	3.00	Group 1	116.00	0.00	116.00	116.00	No	100.00	3.00	10.00	2017.00	3.00
71	25-Oct-17	Suitable	Suitable	N/A	1.00	23.20	1.00	3.00	Group 1	116.00	0.00	116.00	116.00	No	100.00	3.00	10.00	2017.00	3.00
72	16-Aug-17	Suitable	Suitable	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	8.00	2017.00	2.00
72	01-Sep-17	Suitable	Suitable	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	9.00	2017.00	2.00
72	28-Nov-17	Suitable	Suitable	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	11.00	2017.00	3.00
72	14-Dec-17	Suitable	Suitable	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	12.00	2017.00	3.00
72	22-Aug-17	Suitable	N/A	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	8.00	2017.00	2.00
72	09-Nov-17	Suitable	Suitable	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	11.00	2017.00	3.00
72	16-Oct-17	Suitable	Unclear	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	10.00	2017.00	3.00
72	20-Nov-17	Suitable	Unclear	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	11.00	2017.00	3.00
72	#NULL!	Suitable	N/A	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	#NULL!	#NULL!	#NULL!
72	#NULL!	Suitable	N/A	Compliant	1.00	6.67	1.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	#NULL!	#NULL!	#NULL!
72	05-Jul-17	Unsuitable	Unsuitable	N/A	1.00	6.67	2.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	7.00	2017.00	2.00
72	25-Sep-17	Unsuitable	Unsuitable	N/A	1.00	6.67	2.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	9.00	2017.00	2.00
72	04-Dec-17	Unsuitable	Unsuitable	N/A	1.00	6.67	2.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	12.00	2017.00	3.00
72	#NULL!	Unsuitable	N/A	N/A	1.00	6.67	2.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	#NULL!	#NULL!	#NULL!
72	17-Nov-17	Not compliant		Compliant	1.00	6.67	3.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	11.00	2017.00	3.00
72	24-Nov-17	Not compliant		Compliant	1.00	6.67	3.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	11.00	2017.00	3.00
72	24-Nov-17	Not compliant		Compliant	1.00	6.67	3.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	11.00	2017.00	3.00
72	23-Oct-17	Not compliant		Compliant	1.00	6.67	3.00	3.00	Group 1	120.00	0.00	7.00	128.00	Yes	5.47	1.00	10.00	2017.00	3.00
73	17-Jan-18	Suitable	Suitable		1.00	9.76	1.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	1.00	2018.00	4.00
73	24-Jan-18	Suitable	Unsuitable		1.00	9.76	1.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	1.00	2018.00	4.00
73	09-Nov-17	Suitable	Unsuitable	N/A	1.00	9.76	1.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	11.00	2017.00	3.00
73	#NULL!	Suitable	Suitable	N/A	1.00	9.76	1.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	#NULL!	#NULL!	#NULL!
73	11-Nov-17	Suitable	Unsuitable	Compliant	1.00	9.76	1.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	11.00	2017.00	3.00

Firm No.	Date	Pension	Investment	Insistent	Group	Weight	Pension2	Sizegroup	Group1or2	Transfers	Changed	Advised	Totaladvised	Ongoing	Conversion	Conversiongp	Month	Year	Quarter
73	04-Oct-17	Unsuitable	Unsuitable		1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	10.00	2017.00	3.00
73	25-Jan-18	Unsuitable	Suitable		1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	1.00	2018.00	4.00
73	12-Aug-17	Unsuitable	Unsuitable	N/A	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	8.00	2017.00	2.00
73	08-Sep-17	Unsuitable	N/A	N/A	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	9.00	2017.00	2.00
73	17-Nov-17	Unsuitable	Unclear	Compliant	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	11.00	2017.00	3.00
73	26-Jun-17	Unsuitable	Unsuitable	N/A	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	6.00	2017.00	1.00
73	09-Aug-17	Unsuitable	Unsuitable	N/A	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	8.00	2017.00	2.00
73	24-Aug-17	Unsuitable	Unsuitable	N/A	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	8.00	2017.00	2.00
73	21-Nov-17	Unsuitable	Unsuitable	N/A	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	11.00	2017.00	3.00
73	18-Oct-17	Unsuitable	Unsuitable	N/A	1.00	9.76	2.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	10.00	2017.00	3.00
73	16-Oct-17	Unclear	Unclear	N/A	1.00	9.76	3.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	10.00	2017.00	3.00
73	16-Nov-17	Unclear	Unclear	N/A	1.00	9.76	3.00	3.00	Group 1	166.00	0.00	166.00	173.00	No	95.95	3.00	11.00	2017.00	3.00
74	01-Nov-17	Suitable	Suitable	N/A	2.00	17.04	1.00	1.00	Group 2a (file reviews)	8.00	0.00	#NULL!	#NULL!	No	-9.00	4.00	11.00	2017.00	3.00
75	15-Nov-17	Suitable	Suitable	N/A	1.00	7.58	1.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
75	25-Nov-17	Suitable	Suitable	N/A	1.00	7.58	1.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
75	26-Sep-17	Suitable	Suitable		1.00	7.58	1.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	9.00	2017.00	2.00
75	30-Nov-17	Suitable	Suitable		1.00	7.58	1.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
75	25-Sep-17	Unsuitable	Suitable	N/A	1.00	7.58	2.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	9.00	2017.00	2.00
75	20-Oct-17	Unsuitable	Suitable	N/A	1.00	7.58	2.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	10.00	2017.00	3.00
75	01-Nov-17	Unsuitable	Suitable	N/A	1.00	7.58	2.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
75	10-Nov-17	Unsuitable		N/A	1.00	7.58	2.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
75	20-Nov-17	Unsuitable	Suitable	N/A	1.00	7.58	2.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
75	23-Oct-17	Not compliant		N/A	1.00	7.58	3.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	10.00	2017.00	3.00
75	21-Nov-17	Not compliant		N/A	1.00	7.58	3.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
75	21-Nov-17	Not compliant		N/A	1.00	7.58	3.00	3.00	Group 1	91.00	0.00	91.00	91.00	Yes	100.00	3.00	11.00	2017.00	3.00
76	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	23.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
76	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	23.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
76	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	23.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
76	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	23.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
76	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	23.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
76	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	23.00	No	100.00	3.00	#NULL!	#NULL!	#NULL!
77	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	24.00	No	95.83	3.00	#NULL!	#NULL!	#NULL!
77	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	24.00	No	95.83	3.00	#NULL!	#NULL!	#NULL!
77	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	24.00	No	95.83	3.00	#NULL!	#NULL!	#NULL!
77	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	24.00	No	95.83	3.00	#NULL!	#NULL!	#NULL!
77	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	24.00	No	95.83	3.00	#NULL!	#NULL!	#NULL!
77	#NULL!	Suitable	Suitable	N/A	1.00	3.83	1.00	2.00	Group 1	23.00	0.00	23.00	24.00	No	95.83	3.00	#NULL!	#NULL!	#NULL!
78	03-Aug-17	Unsuitable	Suitable	N/A	2.00	89.48	2.00	2.00	Group 2a (file reviews)	42.00	3.00	42.00	49.00	No	85.71	3.00	8.00	2017.00	2.00
79	#NULL!	Suitable	N/A	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
79	#NULL!	Suitable	N/A	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
79	#NULL!	Suitable	N/A	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
79	11-Oct-17	Suitable	N/A	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	10.00	2017.00	3.00
79	#NULL!	Suitable	N/A	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
79	21-Aug-17	Suitable	Unsuitable	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	8.00	2017.00	2.00
79	08-Nov-17	Suitable	N/A	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	11.00	2017.00	3.00
79	14-Aug-17	Suitable	Suitable	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	8.00	2017.00	2.00
79	#NULL!	Suitable	Suitable	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
79	#NULL!	Suitable	N/A	N/A	1.00	10.92	1.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
79	#NULL!	Unsuitable	N/A	N/A	1.00	10.92	2.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
79	#NULL!	Unclear	N/A	N/A	1.00	10.92	3.00	3.00	Group 1	131.00	0.00	131.00	137.00	No	95.62	3.00	#NULL!	#NULL!	#NULL!
80	15-Nov-17	Unsuitable	Suitable	N/A	2.00	46.87	2.00	2.00	Group 2a (file reviews)	22.00	-1.00	22.00	22.00	No	100.00	3.00	11.00	2017.00	3.00
81	14-Aug-17	Suitable	Unclear	N/A	1.00	14.00	1.00	3.00	Group 1	84.00	0.00	84.00	111.00	No	75.68	3.00	8.00	2017.00	2.00
81	23-Nov-17	Suitable	Unclear	N/A	1.00	14.00	1.00	3.00	Group 1	84.00	0.00	84.00	111.00	No	75.68	3.00	11.00	2017.00	3.00
81	11-Nov-17	Suitable	Unclear	N/A	1.00	14.00	1.00	3.00	Group 1	84.00	0.00	84.00	111.00	No	75.68	3.00	11.00	2017.00	3.00
81	13-Nov-17	Suitable	Unclear	N/A	1.00	14.00	1.00	3.00	Group 1	84.00	0.00	84.00	111.00	No	75.68	3.00	11.00	2017.00	3.00
81	14-Aug-17	Suitable	Unclear	N/A	1.00	14.00	1.00	3.00	Group 1	84.00	0.00	84.00	111.00	No	75.68	3.00	8.00	2017.00	2.00
81	29-Nov-17	Suitable	Unclear	N/A	1.00	14.00	1.00	3.00	Group 1	84.00	0.00	84.00	111.00	No	75.68	3.00	11.00	2017.00	3.00
82	21-Sep-17	Unclear	Unclear	N/A	2.00	52.73	3.00	3.00	Group 2a (file reviews)	99.00	0.00	99.00	102.00	No	97.06	3.00	9.00	2017.00	2.00
82	24-Oct-17	Unsuitable	Suitable	N/A	2.00	52.73	2.00	3.00	Group 2a (file reviews)	99.00	0.00	99.00	102.00	No	97.06	3.00	10.00	2017.00	3.00

Firm No.	Date	Pension	Investment	Insistent	Group	Weight	Pension2	Sizegroup	Group1or2	Transfers	Changed	Advised	Totaladvised	Ongoing	Conversion	Conversiongp	Month	Year	Quarter
83	14-Nov-17	Suitable	Suitable		1.00	9.36	1.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	20-Nov-17	Suitable	Unsuitable		1.00	9.36	1.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	30-Aug-17	Unsuitable	Unsuitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	8.00	2017.00	2.00
83	28-Sep-17	Unsuitable	Suitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	9.00	2017.00	2.00
83	09-Oct-17	Unsuitable	Suitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	10.00	2017.00	3.00
83	09-Oct-17	Unsuitable	Suitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	10.00	2017.00	3.00
83	01-Nov-17	Unsuitable	Suitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	01-Nov-17	Unsuitable	Suitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	02-Nov-17	Unsuitable	Suitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	10-Aug-17	Unsuitable	Suitable		1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	8.00	2017.00	2.00
83	15-Nov-17	Unsuitable	Unsuitable	N/A	1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	09-Oct-17	Unsuitable	Unsuitable	N/A	1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	10.00	2017.00	3.00
83	21-Nov-17	Unsuitable	Unclear	N/A	1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	#NULL!	Unsuitable	N/A	N/A	1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	#NULL!	#NULL!	#NULL!
83	#NULL!	Unsuitable	N/A	N/A	1.00	9.36	2.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	#NULL!	#NULL!	#NULL!
83	11-Jul-17	Not compliant			1.00	9.36	3.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	7.00	2017.00	2.00
83	02-Dec-17	Not compliant			1.00	9.36	3.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	12.00	2017.00	3.00
83	05-Sep-17	Not compliant			1.00	9.36	3.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	9.00	2017.00	2.00
83	22-Nov-17	Not compliant			1.00	9.36	3.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	11.00	2017.00	3.00
83	19-Oct-17	Not compliant			1.00	9.36	3.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	10.00	2017.00	3.00
83	20-Dec-17	Not compliant			1.00	9.36	3.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	12.00	2017.00	3.00
83	#NULL!	Unclear	N/A	N/A	1.00	9.36	3.00	3.00	Group 1	206.00	0.00	206.00	231.00	Yes	89.18	3.00	#NULL!	#NULL!	#NULL!
84	13-Sep-17	Suitable	Suitable	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	9.00	2017.00	2.00
84	21-Oct-17	Suitable	Suitable	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	10.00	2017.00	3.00
84	12-Dec-17	Suitable	Suitable	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	12.00	2017.00	3.00
84	28-Dec-17	Suitable	Suitable	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	12.00	2017.00	3.00
84	28-Oct-17	Suitable	Suitable	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	10.00	2017.00	3.00
84	#NULL!	Suitable	N/A	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	#NULL!	#NULL!	#NULL!
84	#NULL!	Suitable	N/A	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	#NULL!	#NULL!	#NULL!
84	#NULL!	Suitable	N/A	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	#NULL!	#NULL!	#NULL!
84	#NULL!	Suitable	N/A	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	#NULL!	#NULL!	#NULL!
84	#NULL!	Suitable	N/A	N/A	1.00	14.78	1.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	#NULL!	#NULL!	#NULL!
84	22-Mar-17	Unsuitable	Suitable	N/A	1.00	14.78	2.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	3.00	2017.00	1.00
84	25-Jun-17	Unsuitable	Suitable	N/A	1.00	14.78	2.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	6.00	2017.00	1.00
84	05-Jul-17	Unsuitable	Suitable	N/A	1.00	14.78	2.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	7.00	2017.00	2.00
84	27-Sep-17	Unsuitable	Suitable	N/A	1.00	14.78	2.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	9.00	2017.00	2.00
84	22-Mar-17	Unsuitable	Suitable		1.00	14.78	2.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	3.00	2017.00	1.00
84	25-Jun-17	Unsuitable	Suitable		1.00	14.78	2.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	6.00	2017.00	1.00
84	05-Jul-17	Unsuitable	Suitable		1.00	14.78	2.00	3.00	Group 1	266.00	0.00	254.00	300.00	Yes	84.67	3.00	7.00	2017.00	2.00
85	29-Nov-17	Unsuitable	Suitable	N/A	1.00	17.40	2.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	11.00	2017.00	3.00
85	21-Nov-17	Unsuitable	Suitable		1.00	17.40	2.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	11.00	2017.00	3.00
85	05-Sep-17	Unsuitable	Suitable		1.00	17.40	2.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	9.00	2017.00	2.00
85	26-Aug-17	Not compliant		N/A	1.00	17.40	3.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	8.00	2017.00	2.00
85	01-Mar-17	Not compliant		N/A	1.00	17.40	3.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	3.00	2017.00	1.00
85	09-Aug-17	Not compliant		N/A	1.00	17.40	3.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	8.00	2017.00	2.00
85	28-Sep-17	Not compliant			1.00	17.40	3.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	9.00	2017.00	2.00
85	30-Oct-17	Not compliant			1.00	17.40	3.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	10.00	2017.00	3.00
85	05-Nov-17	Not compliant			1.00	17.40	3.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	11.00	2017.00	3.00
85	26-Nov-17	Not compliant			1.00	17.40	3.00	3.00	Group 1	174.00	0.00	186.00	186.00	Yes	100.00	3.00	11.00	2017.00	3.00
86	09-Aug-17	Suitable	Suitable		1.00	1.53	1.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
86	11-Oct-17	Suitable	Suitable		1.00	1.53	1.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	10.00	2017.00	3.00
86	31-Jul-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	7.00	2017.00	2.00
86	24-Aug-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
86	24-Aug-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
86	15-Sep-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	9.00	2017.00	2.00
86	21-Sep-17	Unsuitable	Unsuitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	9.00	2017.00	2.00
86	02-Oct-17	Unsuitable	Unsuitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	10.00	2017.00	3.00
86	23-Oct-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	10.00	2017.00	3.00

Firm No.	Date	Pension	Investment	Insistent	Group	Weight	Pension2	Sizegroup	Group1or2	Transfers	Changed	Advised	Totaladvised	Ongoing	Conversion	Conversiongp	Month	Year	Quarter
86	11-Oct-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	10.00	2017.00	3.00
86	11-Oct-17	Unsuitable	Unsuitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	10.00	2017.00	3.00
86	26-Oct-17	Unsuitable	Unsuitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	10.00	2017.00	3.00
86	01-Aug-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
86	01-Aug-17	Unsuitable	Suitable		1.00	1.53	2.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
86	14-Aug-17	Not compliant			1.00	1.53	3.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
86	21-Aug-17	Not compliant			1.00	1.53	3.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
86	23-Aug-17	Not compliant			1.00	1.53	3.00	2.00	Group 1	26.00	0.00	26.00	26.00	Yes	100.00	3.00	8.00	2017.00	2.00
87	16-Oct-17	Not compliant			1.00	2.00	3.00	1.00	Group 1	2.00	0.00	#NULL!	#NULL!	Yes	-9.00	4.00	10.00	2017.00	3.00
88	14-Nov-17	Unclear	Unclear	N/A	2.00	4.26	3.00	1.00	Group 2a (file reviews)	1.00	0.00	1.00	2.00	No	50.00	2.00	11.00	2017.00	3.00
89	10-Jan-18	Suitable	Suitable	N/A	2.00	66.04	1.00	2.00	Group 2a (file reviews)	31.00	-1.00	31.00	31.00	No	100.00	3.00	1.00	2018.00	4.00