FINANCIAL CONDUCT AUTHORITY

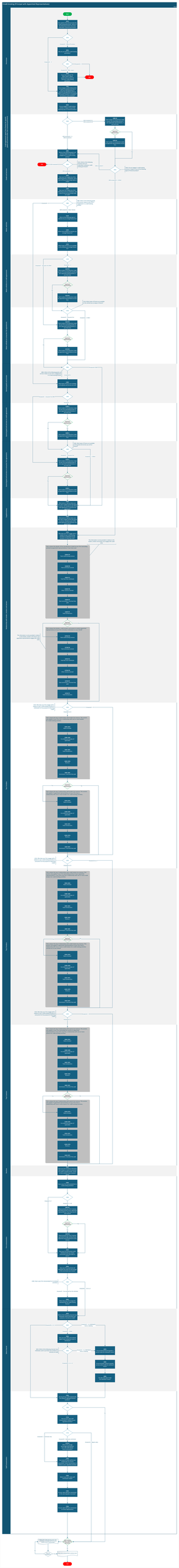
CCR009 Data Flow Diagram

Credit broking (Principal with Appointed Representatives)

v1.0

16 May 2025

This document has been prepared as an aid to understand the rules set out in SUP 16 Annex 38CR for CCR009. It is a visual representation of those rules and branching logic.


Start
Phase 1
Phase 2 (Only if Phase 1 Failed)
Phase 3 (Only if Phase 2 Failed)
Phase 4 (Only if Phase 3 Failed)
Phase 5 (Only if Phase 4 Failed)
Phase 6 (Only if Phase 5 Failed)
Phase 7
Phase 8
Phase 9
Phase 10
Phase 11
Phase 12
Phase 13
Phase 14
Phase 15
Phase 16
Phase 17
Phase 18
Phase 19
Phase 20
Phase 21
Phase 22
Phase 23
Phase 24
Phase 25
Phase 26
Phase

Version Control

Version	Changed By	Description	Date
1.0	Cam Wai Tuyen/Josh Ablett	Initial data flow diagram for Credit Broking (Principal with Appointed Representatives) following publication of PS25/3	16/05/2025