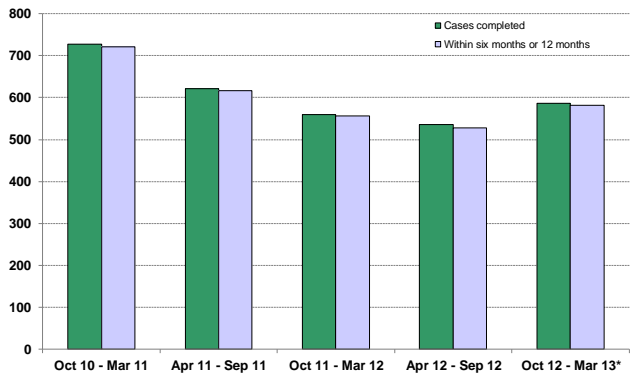


[A1.1a] To process a complete application for Part IV Permission.

Target: 100% within six months of becoming complete or 12 months of receipt.

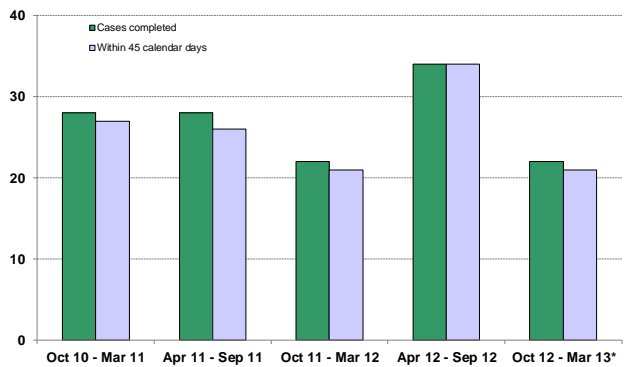
Latest Performance*: Target not met



[A1.2] To process Money Laundering registrations.

Target: 100% within 45 calendar days of receipt of application or receipt of any further required information.

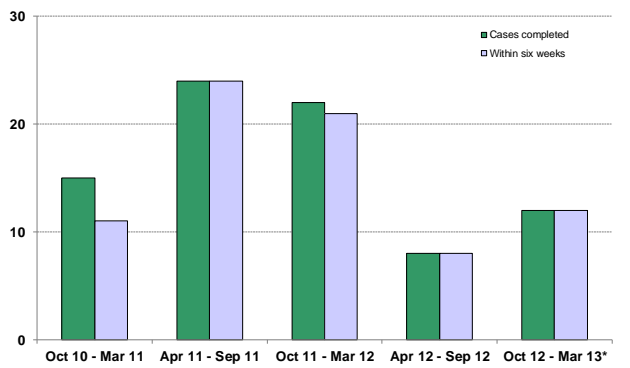
Latest Performance*: Target met



[A3.1] To process applications for the authorisation of new schemes under section 242 for Authorised Unit Trusts (AUT) and Regulation 12 for Open Ended Investment Companies (OEIC) – UCITS type schemes only.

Target: 75% within six weeks of receipt.

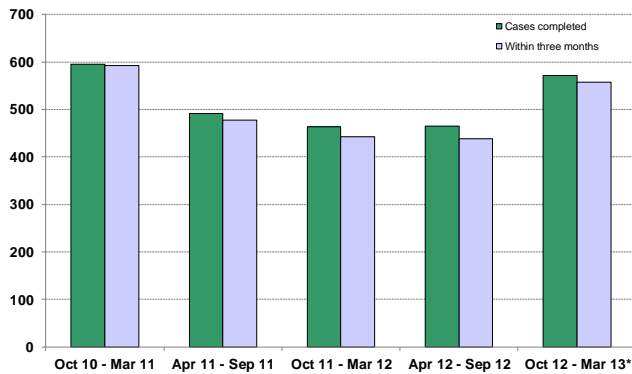
Latest Performance*: Target met



[A1.1b] To process a complete application for Part IV Permission.

Target: 75% within three months of being deemed complete.

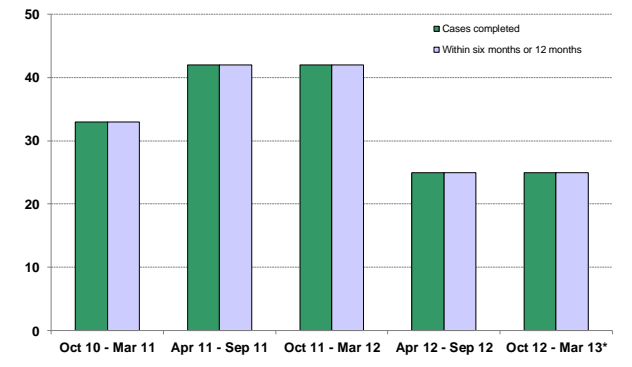
Latest Performance*: Target met



[A2.1] To process applications for the authorisation of new schemes under section 242 for Authorised Unit Trusts (AUT) and Regulation 12 for Open Ended Investment Companies (OEIC).

Target: 100% within six months or 12 months of receipt.

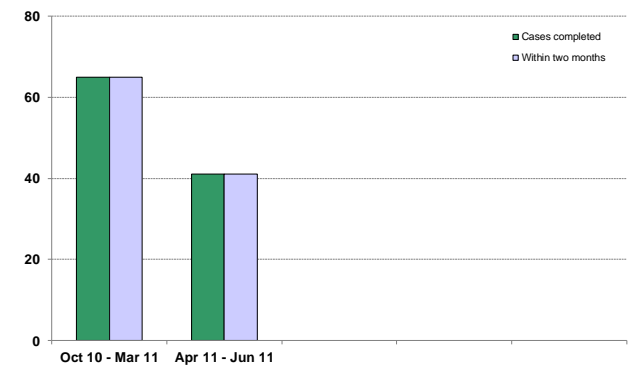
Latest Performance*: Target met



[A5.1] To consider whether an EEA UCITS scheme that has given a notice to the FSA of its intention to invite UK persons to invest will be compliant with UK law and, where not, to issue a notice to that effect.

Target: 100% within two months of receipt.

Note: A5.1 ceased in July 2011



[A6.1] To consider notifications for recognition from schemes authorised in designated countries or territories and, if appropriate, issue a Warning Notice.

Target: 100% within two months of receipt.

Latest Performance*: No notifications received



[A8.1] To process a complete registration application from a Mutual Society.

Target: 90% within 15 working days of receipt.

Latest Performance*: Target met

