

# TO BE COMPLETED BY INSURERS, MANAGING AGENTS, PRICE-SETTING INTERMEDIARIES AND PREMIUM FINANCE PROVIDERS

The attestation below relates to price setting for the following- please select all that are applicable. If your firm does not undertake pricing for any of the following, please submit a nil return by selecting Option 10 – None of the above and complete details of the responsible senior manager.

- 1. Home insurance
- 2. Motor insurance
- 3. Add-ons sold alongside home insurance (excluding premium finance)<sup>1</sup>
- 4. Add-ons sold alongside motor insurance (excluding premium finance)<sup>1</sup>
- 5. Premium finance sold alongside home insurance
- 6. Premium finance sold alongside motor insurance
- 7. Fees- by charging a fee at renewal for home insurance<sup>2</sup>
- 8. Fees- by charging a fee at renewal for motor insurance<sup>2</sup>
- 9. Incentives by giving cash or cash-equivalent incentives to new business customers and is involved in the renewal of products in scope of ICOBS 6B Or
- 10. None of the above

### Attestation required as per ICOBS 6B.2.60R

- 1. I attest that I am satisfied that the firm is and has been complying with the requirements in ICOBS 6B for the most recent reporting period: **Yes /No**
- 2. I attest that I am satisfied that the firm's pricing of all products within scope of ICOBS 6B, and related sales practices, are consistent with the objectives of the rules as set out in ICOBS 6B.1.4G for the most recent reporting period: **Yes/No**
- 3. I attest that I am satisfied that the firm's pricing of all products within scope of ICOBS 6B, and related sales practices, do not discriminate against customers of longer tenure, as set out in ICOBS 6B.2.39R, ICOBS 6B.2.47R and ICOBS 6B.2.48R for the most recent reporting period **Yes/No**

### <u>Or</u>

4. I cannot make the above attestation for the following (free text) reasons

Name of Senior Manager Function (SMF) holder (or Senior Manager (SM) if SM&CR does not apply) attesting: (free text)

Senior Manager Function /Senior Manager job title of person attesting: (free text)

Email address of SMF / SM: (free text)

#### TO BE COMPLETED BY INSURANCE INTERMEDIARIES ONLY

<sup>&</sup>lt;sup>1</sup> Add-ons are additional products which is defined in the Glossary of the FCA Handbook and can be optional additional products or mandatory additional products

<sup>&</sup>lt;sup>2</sup> Per ICOBS 6B.2.40 (4) – "A firm should not systematically charge higher fees to a customer who is renewing a policy than to a new business customer"

## Notification required as per SUP 16.28.9R

- 1. I provide notification to confirm the firm **forgoes** commission in whole or in part or gives a cash or cash-equivalent discount on gross-rated business for more than 25% of its home insurance policies sold within the most recent reporting period **Yes/No**
- 2. I provide notification to confirm the firm **forgoes** commission in whole or in part or gives a cash or cash-equivalent discount on gross-rated business for more than 25% of its motor insurance policies sold within the most recent reporting period **Yes/No**
- 3. If the response to 1 above is No, did the firm forgo commission in whole or in part or gives cash or cash-equivalent discounts on any gross-rated business home insurance policies sold in this period **Yes/No**
- 4. If the response to 2 above is No, did the firm forgo commission in whole or in part or gives cash or cash-equivalent discounts on any gross-rated business motor insurance policies sold in this period Yes/No