



HANDBOOK CHECKLIST SUMMARY

February 2014

This checklist summary is a complete list of all the pages which should be in your handbook after insertion of Release 146.

APER	CREDS	Glossary	PR
BCOBS	DEPP	ICOBS	PRIN
BIPRU	DISP	IFPRU	PROF
BSOCS	DTR	INSPRU	RCB
CASS	ECO	IPRU(FSOC)	REC
CIS	ELM	IPRU(INS)	SUP
COAF	FEES	IPRU(INV)	SYSC
COBS	FINMAR	LLD	TC
COLL	FIT	LR	UPRU
COMP	FUND	MAR	PRA
COND	GEN	MCOB	Rulebook
CONRED	GENPRU	MIPRU	

Please ensure that you have the latest pages by comparing the release number found at the bottom of each page with the release numbers overleaf.

High Level Standards		
Module	Page Number	Release No/Date
Principles for Businesses (PRIN)		
Entire module	All	136
Chapter 1	1 - 2	140
	3 - 4	146
Chapter 3	1 - 2	146
	3 - 4	140
Chapter 3 Annex 1	1 - 2	137
Schedule 4	1 - 2	137
Schedule 6	1 - 2	140
Senior Management Arrangements, Systems and Controls (SYSC)		
Entire module	All	136
Chapter 1	1 - 2	137
Chapter 1 Annex 1	5 - 8	140
	9 - 40	146
Chapter 4	1 - 22	146
Chapter 5	3 - 4	146
Chapter 6	3 - 6	146
Chapter 7	All	140
	1 - 8	146
Chapter 10	All	140
	7 - 12	146
Chapter 12	5 - 8	146
Chapter 19A	1 - 26	146
Chapter 19B	All	140
	1 - 8	146
Chapter 19C	1 - 20	146
Chapter 20	1 - 6	146
Chapter 21	All	140
	1 - 4	146
TP 1	1 - 2	137
TP 2	All	140
TP 3	1 - 2	137
Schedule 4	1 - 2	137
Schedule 6	All	140
Threshold Conditions (COND)		
Entire module	All	
Chapter 19	[Deleted]	
Appendix 1	[Deleted]	
Statements of Principle and Code of Practice for Approved Persons (APER)		
Entire module	136	
Chapter 1	5 - 6	142
The Fit and Proper test for Approved Persons (FIT)		
Entire module	All	136

Schedule 4	1 - 2	137
Financial Stability and Market Confidence (FINMAR)		
Entire module	All	136
FINMAR 3	Deleted	
Schedule 4	1 - 2	137

Training and Competence (TC)		
	Page number	Release
Entire module	All	136
Appendix 4	5 - 28	146
Schedule 4	1 - 2	137
Schedule 6	All	140
Annexes	<i>Deleted</i>	
Derivations and Destinations	<i>Deleted</i>	

General provisions (GEN)		
	Page number	Release
Entire module	All	136
Chapter 1	3 - 4	139
Chapter 2	7 - 8	146
Chapter 2 Annex 2	<i>Deleted</i>	
Chapter 3	Moved to FEES	
Chapter 4	5 - 6	142
TP 3	All	140
Schedule 4	All	142
Schedule 6	All	140

Fees manual (FEES)		
	Page number	Release
Entire module	All	136
Chapter 1	3 - 4	142
	5 - 6	140
Chapter 2	5 - 6	142
Chapter 3	5 - 26	146
Chapter 3 Annex 1	1 - 2	140
Chapter 3 Annex 2	All	140
Chapter 3 Annex 5	1 - 2	139
Chapter 3 Annex 6	1 - 2	146
Chapter 3 Annex 6A	1 - 4	146
Chapter 3 Annex 6B	1 - 2	146
Chapter 3 Annex 9	1 - 2	142
Chapter 4	1 - 2	139
	3 - 4	140
	5 - 8	139
	9 - 16	146

	17 - 26	140
Chapter 4 Annex 1A	All	140
Chapter 4 Annex 2A	1 - 6	139
Chapter 4 Annex 2B	1 - 8	139
Chapter 4 Annex 4	All	140
Chapter 4 Annex 5	1 - 2	139
Chapter 4 Annex 6	1 - 2	139
Chapter 4 Annex 7	1 - 2	139
Chapter 4 Annex 8	1 - 2	146
Chapter 4 Annex 9	1 - 2	139
Chapter 4 Annex 10	1 - 2	139
Chapter 4 Annex 11	5 - 8	139
Chapter 4 Annex 12	1 - 2	142
Chapter 5	11 - 14	137
Chapter 5 Annex 1	1 - 2	140
	3 - 4	139
Chapter 5 Annex 3	7 - 8	137
Chapter 6	1 - 2	136
	3 - 6	142
	7 - 8	136
	9 - 12	137
	13 - 14	136
	15 - 16	140
	17 - 18	142
	19 - 20	136
	21 - 25	142
	26	140
	27 - 28	136
	29 - 30	146
Chapter 6 Annex 3	All	144
Chapter 6 Annex 3A	All	140
	1 - 4	142
Chapter 7	7 - 8	137
Chapter 7 Annex 1	1 - 4	139
Appendix 1 Annex 1	5 - 6	139
Chapter 8	All	141
TP 7	1 - 2	137
TP 8	1 - 2	142
TP 9	1 - 2	146
Schedule 4	All	140
Schedule 6	All	140

Prudential Standards		
Module	Page Number	Release No
Interim Prudential sourcebook (PRU)		
Contents		<i>Deleted</i>
Chapters 1 - 8		<i>Deleted</i>
Chapter 9		<i>Moved to MIPRU</i>
Chapter 9 Annex 1		<i>Deleted</i>
TP		<i>Deleted</i>
Schedules 1 - 6		<i>Deleted</i>
<i>last in - force 31/12/2006</i>		
General Prudential sourcebook (GENPRU)		

Prudential Standards		
Module	Page Number	Release No
Entire module	All	136
Chapter 1	1 - 44	146
Chapter 2	1 - 106	146
Chapter 2 Annex 1	1 - 4	146
Chapter 2 Annex 2	1 - 2	146
Chapter 2 Annex 3	1 - 2	146
Chapter 2 Annex 4	1 - 4	146
Chapter 2 Annex 5	1 - 4	146
Chapter 2 Annex 6	1 - 6	146
Chapter 2 Annex 8	1 - 10	146
Chapter 3	All	139
	3 - 14	146
Chapter 3 Annex 1	All	139
	3 - 10	146
Chapter 3 Annex 2	1 - 2	146
Chapter 3 Annex 3	1 - 2	146
TP 7	1 - 2	146
TP 8	1 - 6	146
TP 8A	1 - 4	146
TP 8B	1 - 2	146
TP 9	1 - 2	146
TP 14	1 - 2	146
TP 15	1 - 2	137
TP 16	All	140
	1 - 2	146
Schedule 2	1 - 2	146
Schedule 4	1 - 2	137
Prudential sourcebook for Banks, Building Societies and Investment Firms (BIPRU)		
Entire module	All	146
Prudential sourcebook for Investment Firms (IFPRU)		
Entire module	All	146
Prudential sourcebook for Insurers (INSPRU)		
Entire module	All	136
Chapter 1	21 - 30	137
	31 - 116	136
	117 - 118	142
Chapter 2	7 - 8	141
Chapter 6	All	139
Chapter 7	1 - 2	142
TP 1	3 - 6	137
Schedule 4	1 - 2	137
Annex 1	<i>[Deleted]</i>	
Prudential sourcebook for Mortgage and Home Finance Firms, and Insurance Intermediaries (MIPRU)		
Entire module	All	136
Chapter 4	5 - 6	146
	7 - 18	136

Prudential Standards

Module	Page Number	Release No
	19 - 22	146
TP 1	1 - 2	137
Schedule 4	1 - 2	137
Prudential sourcebook for UCITS Firms (UPRU)		
Entire module	All	136
Chapter 1	1 - 4	146
Chapter 2	1 - 8	146
Schedule 3	1 - 2	137
Schedule 4	1 - 2	137
Schedule 6	All	139
Interim Prudential sourcebook for banks (IPRU(BANK))		
<i>Deleted - last in - force 31/10/2010</i>		
Interim Prudential sourcebook for building societies (IPRU(BSOC))		
<i>Deleted - last in - force 30/09/2010</i>		
Interim Prudential sourcebook for friendly societies (IPRU(FSOC))		
Entire module	All	136
Chapter 7	7 - 14	140
Annex A to Appendix 4		deleted
Guidance on Relationships with Controlled Bodies		deleted
Annex 1	1 - 2	137
Annex 2	1 - 2	137
Annex 3	1 - 6	137
Annex 5	1 - 4	142
Annex 8		deleted

Interim Prudential sourcebook for insurers (IPRU(INS))		
Entire module	All	136
Front Matter	1 - 8	146
Purpose		deleted
Chapter 2		deleted
Chapter 4, 5, 6, 7		deleted
Chapter 9	41 - 58	139
Appendix 2.1		deleted
Appendix 2.2		deleted
Appendix 2.3		deleted
Appendix 3.1		deleted
Appendix 3.2		deleted
Appendix 4.1		deleted
Appendix 4.2		deleted
Appendix 5.1		deleted
Appendix 6.1		deleted
Appendix 6.2		deleted
Appendix 9	1 - 2	142
Appendix 9.1	All	146
Interim Prudential sourcebook for investment businesses (IPRU(INV))		
Entire module	All	136

Contents	1 - 2	140
TP 1	All	140
Chapter 1	All	140
Chapter 2	All	140
Chapter 3 Appendix 1	13 - 22	140
Chapter 5	3 - 26	140
Chapter 5 Appendix 1	All	139
Chapter 7	<i>Moved to UPRU</i>	
Chapter 10	<i>Deleted</i>	
Chapter 11	All	142
Chapter 13	29 - 50	138
Chapter 14	1 - 8	140
Annex A	All	140
Chapter 14 Appendix 14	All	141
Appendices	<i>Deleted</i>	
10 Securities and Futures Firms	<i>Deleted</i>	

Business Standards		
Module	Page Number	Release No
Conduct of Business (COB)		
<i>31/10/2007 last date material was in force</i>		
Conduct of Business (COBS)		
Entire module	All	136
Chapter 1 Annex 1	All	140
Chapter 2	3 - 4	140
Chapter 3	5 - 6	140
Chapter 4	3 - 4	146
	5 - 6	137
	7 - 26	136
	27 - 48	146
Chapter 4 Annex 1	29 - 36	141
Chapter 5	7 - 8	137
Chapter 7	3 - 4	137
Chapter 9	7 - 18	146
Chapter 11	3 - 4	139
	5 - 26	136
	27 - 28	138
Chapter 14	3 - 6	139
	7 - 14	136
	15 - 16	146
Chapter 18	13 - 38	140
Chapter 20	31 - 32	142
TP 1	5 - 6	140
Schedule 1	1 - 6	146
Schedule 4	1 - 2	137
Schedule 6	All	140
Insurance: Conduct of Business (ICOB)		
<i>05/01/2008 last date material was in force</i>		
Insurance: Conduct of Business (ICOBS)		
Entire module	All	136
Chapter 2	1 - 4	142
Chapter 3	1 - 2	137
Chapter 3 Annex 1	1 - 2	137
Chapter 3 Annex 2	1 - 2	137
Chapter 3 Annex 3	1 - 2	137
Chapter 4 Annex 1	All	141
Chapter 5	3 - 4	142
Chapter 8	1 - 18	142
Schedule 4	1 - 2	137
Schedule 6	All	140
Mortgage: Conduct of Business (MCOB)		
Entire module	All	136
Chapter 2	25 - 26	137
Chapter 6	11 - 12	137
	13 - 14	139
Chapter 10	3 - 4	142
TP 1	1 - 2	137

Business Standards		
Module	Page Number	Release No
TP 2	1 - 2	137
TP 3	1 - 2	137
Schedule 4	1 - 2	137
Schedule 6	All	140
Annex 1 - 4	<i>Deleted</i>	
Banking: Conduct of Business (BCOBS)		
Entire module	All	136
Chapter 3	5 - 6	137
Chapter 3 Annex 1	1 - 2	137
Schedule 4	1 - 2	137
Schedule 6	All	140
Client Assets (CASS)		
Entire module	All	136
Chapter 1	7 - 10	140
	11 - 12	137
Chapter 2	<i>Deleted</i>	
Chapter 4	<i>Deleted</i>	
Chapter 6	1 - 6	140
	7 - 8	142
	9 - 24	140
Chapter 7	5 - 6	146
	7 - 10	136
	11 - 12	139
	13 - 16	142
	17 - 20	146
	21 - 34	142
Chapter 7A	3 - 14	142
Chapter 8	1 - 4	140
Chapter 9	3 - 6	140
Chapter 10	1 - 2	140
Schedule 2	All	140
Schedule 4	1 - 2	137
Schedule 6	All	140
Market conduct (MAR)		
Entire module	All	136
Chapter 2	1 - 2	139
Chapter 3	<i>Deleted</i>	
Chapter 5	3 - 4	146
Chapter 7	7 - 8	142
Chapter 7 Annex 1	<i>Deleted</i>	
Schedule 4	1 - 2	137
Schedule 6	All	140
Money Laundering (ML)		
<i>30/08/2006 last date material was in force</i>		

Regulatory Processes		
Module	Page Number	Release No
Authorisation (AUTH)		
<i>30/12/2006 last date material was in force</i>		
Supervision (SUP)		
Entire module	All	136
Chapter 2	5 - 6	137
Chapter 3	9 - 10	146
	11 - 12	137
	17 - 18	137
	27 - 28	146
	29 - 30	140
Chapter 3 Annex 1	All	140
Chapter 4	5 - 6	142
Chapter 6	1 - 2	142
	11 - 12	142
	13 - 26	136
	27 - 28	137
Chapter 6 Annex 5	1 - 2	137
Chapter 8	3 - 4	139
Chapter 9	5 - 6	146
	7 - 8	146
Chapter 10A	7 - 8	140
	15 - 24	140
Chapter 10B	29 - 30	139
Chapter 10 Annex 3	<i>Deleted</i>	
Chapter 11	9 - 10	137
	11 - 18	136
	19 - 24	146
Chapter 11 Annex 4	<i>Deleted</i>	
Chapter 11 Annex 5	<i>Deleted</i>	
Chapter 13	3 - 32	146
	33 - 34	140
Chapter 13 Annex 1	All	140
	1 - 2	146
Chapter 13 Annex 4	1 - 2	146
Chapter 13 Annex 7	1 - 2	138
Chapter 13 Annex 8	All	140
Chapter 13A	3 - 4	146
	5 - 10	136
	11 - 14	146
Chapter 13A Annex 1	All	140
	1 - 12	146
Chapter 13A Annex 2	All	140
	1 - 4	146
Chapter 14	1 - 8	146
	9 - 12	140
	13 - 14	146
Chapter 15	1 - 4	146
	5 - 6	136
	7 - 32	146

Regulatory Processes		
Module	Page Number	Release No
Chapter 15 Annex 1	1 - 2	146
Chapter 15A	All	146
Chapter 16	All	140
	13 - 20	146
	21 - 40	140
	41 - 264	146
Chapter 16 Annex 7	<i>Deleted</i>	
Chapter 16 Annex 8	<i>Deleted</i>	
Chapter 16 Annex 18A	1 - 2	146
Chapter 16 Annex 18B	1 - 2	146
Chapter 16 Annex 19A	1 - 2	146
Chapter 16 Annex 19B	1 - 2	146
Chapter 16 Annex 24	All	140
	1 - 2	146
Chapter 16 Annex 25	All	140
	1 - 2	146
Chapter 16 Annex 25A	1 - 2	146
Chapter 16 Annex 27A	1 - 2	146
Chapter 16 Annex 27B	1 - 2	146
Chapter 16 Annex 31B	1 - 2	146
Chapter 16 Annex 35A	1 - 2	146
Chapter 16 Annex 35B	1 - 2	146
Chapter 16 Annex 36B	1 - 2	146
Chapter 16 Annex 37A	1 - 2	146
Chapter 16 Annex 37B	1 - 2	146
Chapter 16 Appendix 1	1 - 2	137
Chapter 17		
Chapter 17 Annex 2	<i>Deleted</i>	
Chapter 17 Annex 3	<i>Deleted</i>	
Chapter 17 Annex 4	<i>Deleted</i>	
Chapter 17 Annex 5	<i>Deleted</i>	
Chapter 17 Chapter 19	<i>Deleted</i>	
Chapter 20	Moved to FEES	
Chapter 20 Annex 1	Moved to FEES	
Chapter 20 Annex 2	Moved to FEES	
Chapter 20 Annex 3	Moved to FEES	
Chapter 20 Annex 4	Moved to FEES	
Chapter 21	1 - 2	142
Appendix 3	1 - 2	146
	3 - 6	140
	7 - 10	146
TP 1	57 - 60	146
Schedule 6	All	140
Enforcement (ENF)		
<i>27/08/2007 last date material was in force</i>		
Decision making (DEC)		
<i>27/08/2007 last date material was in force</i>		
Decision Procedure and Penalties Manual (DEPP)		
Entire module	All	136
Chapter 1	1 - 8	140
Chapter 1 Annex 2	All	140

Regulatory Processes

Module	Page Number	Release No
Chapter 2	11 - 12	140
Chapter 2 Annex 1	All	144
Chapter 2 Annex 2	All	139
Chapter 3	5 - 8	143
Schedule 3	All	144
Schedule 4	All	144

Redress

Module	Page Number	Release No
Dispute resolution: Complaints (DISP)		
Entire module	All	137
Chapter 1	1 - 8	140
	11 - 12	142
Chapter 1 Annex 2	All	140
Chapter 2	11 - 12	140
Chapter 5	Moved to FEES	
Chapter 5 Annex 1	Moved to FEES	
Appendix 2	<i>Deleted</i>	
Schedule 4	All	140
Schedule 6	All	140
Compensation (COMP)		
Entire module	All	136
Chapter 1	3 - 4	137
	5 - 6	136
	7 - 8	146
Chapter 4	3 - 8	146
Chapter 5	9 - 10	146
	11 - 12	142
Chapter 6	3 - 4	140
Chapter 10	5 - 6	142
Chapter 13	Moved to FEES	
Chapter 14	3 - 4	142
TP 1	5 - 8	137
	9 - 10	146
Schedule 1	1 - 2	142
Schedule 4	1 - 2	137
Schedule 6	1 - 2	140
Annex 1	Moved to FEES	
Consumer redress schemes (CONRED)		
Entire module	All	136
Chapter 2	1 - 2	137
Chapter 2 Annex 2	1 - 2	137
Chapter 2 Annex 13	15 - 16	139
Schedule 4	1 - 2	137

Complaints against the FSA (COAF)

31/03/2013 last date material was in force

Specialist sourcebooks

Module	Page Number	Release No
Collective Investment Schemes (CIS)		
11/02/2007 last date material was in force		
Building Societies Sourcebook (BSOCS)		
Entire module	All	136
Schedule 4	1 - 2	137
Schedule 5	1 - 2	137
Collective Investment Schemes (COLL)		
Entire module	All	136
Chapter 1	All	139
Chapter 2	All	139
Chapter 3	All	139
	5 - 12	140
Chapter 4	All	139
	7 - 24	140
Chapter 5	All	139
	43 - 44	140
	45 - 56	139
	57 - 76	146
Chapter 6	All	139
Chapter 7	All	139
	39 - 44	140
Chapter 8	All	139
	1 - 10	146
	11 - 14	139
	15 - 50	146
Chapter 8 Annex 1	<i>Deleted</i>	
Chapter 8 Annex 2	All	139
	1 - 2	146
Chapter 9	5 - 6	140
Chapter 11	All	139
Chapter 12	All	139
Schedule 2	All	139
Schedule 4	1 - 2	137
Schedule 6	All	139
TP 1	9 - 10	140
Credit Unions new sourcebook (CREDS)		
Entire module	All	136
Front Matter	1 - 3	146
Chapter 1	1 - 2	146
Chapter 2	1 - 4	146
Chapter 3	1 - 2	146
Chapter 4	1 - 2	146
Chapter 5	1 - 2	146
Chapter 6	1 - 2	146

Chapter 7	1 - 2	146
Chapter 8	1 - 2	146
Chapter 9	1 - 2	146
Chapter 10	1 - 2	146
Chapter 12	<i>Deleted</i>	
Chapter 16	<i>Deleted</i>	
Appendix 1	1 - 2	146
TP 1	1 - 2	146
Schedule 1	1 - 2	146
Schedule 2	1 - 2	146
Schedule 3	1 - 2	146
Schedule 4	1 - 2	146
Schedule 5	1 - 2	146
Schedule 6	1	146
Investment Funds (FUND)		
Entire module	All	140
Chapter 1	7 - 8	142
Chapter 3	7 - 34	146
Electronic Commerce Directive (ECO)		
<i>31/10/2007 last date material was in force</i>		
Electronic money (ELM)		
<i>29/04/2012 last date material was in force</i>		
Lloyd's (LLD)		
<i>30/12/2006 last date material was in force</i>		
Professional firms (PROF)		
Entire module	All	136
Chapter 3	All	146
Chapter 3 Annex 1	All	146
Chapter 3 Annex 2	All	146
Chapter 6 Annex 1 Annex 2	<i>Deleted</i>	
Schedule 4	1 - 2	137
Schedule 6	All	140
Regulated Covered Bonds (RCB)		
Entire module	All	136
Chapter 1	1 - 2	146
Chapter 2	7 - 10	146
Chapter 2 Annex 1	1 - 2	146
Schedule 4	1 - 2	137
Recognised Investment Exchanges and Recognised Clearing Houses (REC)		
Entire module	All	136
Chapter 1	1 - 2	137
Chapter 2	15 - 16	137
Chapter 4	19 - 20	138
Chapter 6	1 - 2	142
Chapter 7	<i>Deleted</i>	

Listing, Prospectus and Disclosure

Module	Page Number	Release No
Listing Rules (LR)		
Entire module	All	136
Chapter 1	1 – 8	146
Chapter 3	3 - 4	142
Chapter 8	3 - 4	137
	5 - 10	136
	11 – 14	146
	15 – 26	136
	27 - 28	
Chapter 9	25 - 32	145
Chapter 9 Annex 1	All	139
Chapter 15	5 - 6	140
	7 - 8	136
	9 - 10	140
Chapter 18	5 - 6	137
Appendix 1	1 - 8	138
	9 - 26	146
Appendix 2	All	145
Appendix 3	1 - 2	146
Schedule 4	1 - 2	137
Schedule 6	All	139
TR 11	All	145

Prospectus Rules (PR)		
Entire module	All	136
Chapter 1	5 - 14	142
Chapter 2	3 - 32	142
Chapter 3	7 - 10	144
Appendix 1	1 - 2	145
	3 - 8	138
Appendix 3	1 - 2	142
	3 - 82	136
	83 - 84	142
	85 - 94	136
	95 - 174	142
	175 - 176	143
Schedule 4	1 - 2	137
Schedule 6	All	139

Disclosure Rules and Transparency Rules (DTR)		
Entire module	All	136
Chapter 1	3 - 4	139
Chapter 1A	3 - 4	139
Chapter 1C	1 – 4	146
Chapter 4	1 - 2	137
Chapter 5	5 - 6	139
	7 - 10	136

	11 - 12	145
Chapter 6	9 - 14	146
Chapter 8	All	146
Chapter 8 Annex 1	All	146
Chapter 8 Annex 2	All	146
Schedule 6	All	139
TP 1	11 – 12	146

Glossary	
Page Number	Release No
Sections 1, 5	136
A	140
A1 - A34	146
B	140
B1 - B14	146
C	136
C1 - C64	146
D1 - D24	146
E	140
E1 - E30	146
F	140
F1 - F22	146
G1 - G2	136
G3 - G12	146
H	136
H1 - H12	146
I	136
I1 - I38	146
J - K	136
L	140
L1 - L14	146
M	140
M1 - M28	146
N	136
N1 - N14	146
O	136
O1 - O18	146
P	140
P1 - P42	146
Q	136
Q1 - Q4	146
R	140
R1 - R36	146
S	140
S1 - S42	146
T	136
T1 - T14	146
U	136
U1 - U12	146
V	136
V1 - V4	146
W	136
W1 - W2	140
X - Z	136

PRA Rulebook		
Module	Page Number	Release No
Rulebook Glossary		
[new part]	1 - 6	146
Interpretation		
[new part]	1 - 2	146
Definition Capital		
[new part]	1 - 12	146
Benchmarking of Internal Approaches		
[new part]	1 - 4	146
Credit Risk		
[new part]	1 - 4	146
Counterparty Credit Risk		
[new part]	1 - 4	146
Market Risk		
[new part]	1 - 4	146
Groups		
[new part]	1 - 4	146
Large Exposures		
[new part]	1 - 8	146
Public Disclosure		
[new part]	1 - 2	146
Waivers Transitional Provisions		
[new part]	1 - 10	146
Permissions		
[new part]	1 - 2	146
Recovery and Resolution		
[new part]	1 - 6	146
Related Party Transaction Risk		
[new part]	1 - 4	146