

## Notes to assist the completion of the AIFMD NPPR notification forms

There are three different forms for the UK National Private Placement Regime: Article 36, Article 42 and Small Third Country. It is your responsibility to ensure that you complete the appropriate notification form for your category. You can enter up to 50 AIFs in one notification. If you have more than 50 AIFs, you will need to complete another notification form.

You must notify us of those AIFs at sub-fund/compartiment level that you are intending to market in the UK. If the AIF takes the form of an umbrella, please list in the form all the sub-funds that you are intending to market in the UK. If the above does not apply, please select standalone AIF structure. For further clarification, please refer to PERG 16.2, 2.61 to 2.65 (<http://fshandbook.info/FS/html/handbook/PERG/16/2>).

For AIFs/sub-funds with multiple share classes, please do not include information at share class level.

The notification forms have been created using Microsoft Excel with restrictions on the fields to ensure that data supplied is as expected.

The form is divided into three sections:

- AIFM details
- AIF details
- Declaration

To successfully complete the form, you must supply all the mandatory data. This will be confirmed by the following changes on the top left hand corner of the form:

1. AIFM data completed – ‘no’ will change to ‘yes’.
2. AIF data completed – ‘no’ will change to ‘yes’.
3. Declaration completed – ‘no’ will change to ‘yes’.

When these changes are complete, the area at the top of the form titled “Not valid for submission” in red will change to “Valid for submission” in green. The form is now ready to be submitted.

Please avoid the following while completing the forms:

- **Copying/cutting and pasting contents into any of the fields.**
- **Using applications other than Microsoft Excel 2003 to 2010 to download and complete the forms.**
- **Saving the forms after completion in file format other than .xls or .xlsx.**
- **Entering special characters in the fields. Each field has a comment, please check these and avoid the characters listed.**
- **Submitting a notification with multiple attachments, only one form should be attached in each email.**

Notes for the completion of the forms are detailed below.

Please email your completed NPPR notifications to: [NPPRMarketingNotification@fca.org.uk](mailto:NPPRMarketingNotification@fca.org.uk). The subject line of the email should contain your FRN (or firm name for new applications and non-UK firms) followed by the words 'AIFMD NPPR notification'.

If you have any queries about submitting your data, please send them to: [AIFMDQueries@fca.org.uk](mailto:AIFMDQueries@fca.org.uk)

### Small Third Country Notification

Section	Data Element	Description	Data Expected	Special Note
AIFM details	AIFM Legal Name	The full legal name of the AIFM as given in its incorporating documents or as modified thereafter	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Is the firm already authorised by or registered with the FCA?		Select 'yes' or 'no' from drop-down list	Mandatory
	National Code	Unique firm identifier issued to the applicant AIFM by their national competent authority. Unregulated firms, please provide an alternative uniqueidentifier.	Alphanumeric characters, up to 30 alphanumeric characters.	Conditionally mandatory if AIFM is not FSMA authorised
	FRN	Firm Reference Number issued by FCA if applicable.	Six or seven numeric characters or blank.	Conditionally mandatory if AIFM is FSMA authorised
	Home State	The name of the country where the AIFM is domiciled.	Select from the drop-down list.	Mandatory
	Total value of assets under management (Euros)	Provide the assets under management as calculated in accordance with Article 2 of the AIFMD Level 2 Regulations	Decimal numbers, up to five decimal places.	Mandatory
Registered office details	Address Line 1	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Conditionally mandatory if AIFM is not FSMA authorised
	Address Line 2	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Postcode	Registered address	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	Country where the registered office is domiciled.	Select from the drop-down list.	The full legal name of the AIF as given in its incorporating documents or as modified thereafter
	Email	Email address of the registered office.	Alphanumeric characters, up to 200 alphanumeric characters	The full legal name of the AIF as given in its incorporating documents or as modified thereafter
Contact details	First name(s)	First name(s) of the individual to be contacted regarding the notification form. The contact provided should be someone from the AIFM	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	Surname	Surname of the individual to be contacted regarding the notification form. The contact provided should be someone from the AIFM.	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	Address Line 1	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Mandatory
	Address Line 2	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional

	Address Line 3	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Postcode	Applicant's contact address	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	The name of the country where the applicant is based.	Select from the drop-down list.	Mandatory
	Email	Applicant's email address.	Alphanumeric characters, up to 200 alphanumeric characters	Mandatory
Invoice details (if different from registered details)	Address Line 1	Invoice address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 2	Invoice address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	Invoice address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Post Code	Invoice address	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	Invoice address	Select from the drop-down list.	Conditionally mandatory if AIFM invoice address line 1 is selected
	Email	Invoice email address	Alphanumeric characters, up to 200 alphanumeric characters	Optional
	AIF Legal Name	The AIF name that will be listed on the AIFMD NPP register. Swift name is recommended.	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	AIF ID code type	Type of ID code used as a reference for the AIF	Drop-down list: PRN AIF ISIN Code AIF National Code AIF LEI Code AIF CUSIP Code AIF SEDOL Code AIF Bloomberg Code AIF Reuters Code AIF ECB Code Other Not applicable	Mandatory
	Identification Code	Product Reference Number issued by FCA, if applicable. Otherwise, please provide AIFM's own identification or reference number for the AIF	For PRN, up to 7 numeric characters. Otherwise, up to 30 alphanumeric characters.	Mandatory unless the AIF ID code type is 'not applicable'
	Structure	If the AIF takes the form of an umbrella and you are notifying the marketing of one or more sub-funds, please select 'Sub-fund' and complete the next three fields with the umbrella details. If the AIF does not have an umbrella structure, please select 'Standalone AIF'.	Drop-down list: Standalone AIF Sub-fund	Mandatory

Umbrella Legal Name	The name of the umbrella fund to which sub-fund belongs to.	Alphanumeric characters, up to 80 alphanumeric characters.	Conditionally Mandatory; if the structure is Sub-fund
Umbrella ID Code Type	Type of ID code used as a reference for the AIF	Drop-down list: UK PRN AIF ISIN Code AIF National Code AIF LEI Code AIF CUSIP Code AIF SEDOL Code AIF Bloomberg Code AIF Reuters Code AIF ECB Code Other Not applicable	Conditionally Mandatory; if the structure is Sub-fund
Umbrella Identification Code	Product Reference Number (UK PRN) issued by FCA, if applicable. Otherwise, please provide AIFM's own identification or reference number for the umbrella AIF.	For UK PRN, up to 7 numeric characters. Otherwise, up to 30 alphanumeric characters.	Conditionally Mandatory; if the Umbrella ID Code Type is other than 'Not Applicable'
Domicile	The name of the country where the AIF is domiciled	Select from the drop-down list.	Mandatory
Will the offering document or extract of the offering document be submitted separately?		Select 'yes' or 'no' from the drop down list.	Mandatory
Predominant AIF type		Select from the drop down list: Hedge Fund Private Equity Fund Real Estate Fund Fund of Funds Other	Conditionally Mandatory if the offering document or an extract of the offering document is not provided

AIF details	Hedge Fund - Investment Strategy of AIF		Select from the drop-down list: Equity: Long Bias Equity: Long / Short Equity: Market Neutral Equity: Short Bias Relative Value: Fixed Income Arbitrage Relative Value: Convertible Bond Arbitrage Relative Value: Volatility Arbitrage Event Driven: Distressed / Restructuring Event Driven: Risk Arbitrage / Merger Arbitrage Event Driven: Equity Special Situations Credit Long / Short Credit Asset Based Lending Macro Managed Futures / CTA: Fundamental Managed Futures / CTA: Quantitative Multi-strategy hedge fund Other hedge fund strategy	Conditionally Mandatory if the predominant AIF type is Hedge Fund
	Private Equity - Investment Strategy of AIF		Select from the drop-down list: Venture Capital Growth Capital Mezzanine Capital Multi-strategy private equity fund Other private equity fund strategy	Conditionally Mandatory if the AIF type is Private Equity
	Real Estate - Investment Strategy of AIF		Select from the drop-down list: Residential real estate Commercial real estate Industrial real estate Multi-strategy real estate Other real estate strategy	Conditionally Mandatory if the AIF type is Real Estate
	Fund of Funds - identify the predominant AIF type of the underlying funds		Select from the drop-down list: Fund of hedge funds Fund of private equity Other fund of funds	Conditionally Mandatory if the predominant AIF type is Fund of Funds
	Other Fund - Investment Strategy of AIF		Select from the drop-down list: Commodity fund Equity fund Fixed income fund Infrastructure fund Other fund	Conditionally Mandatory if the predominant AIF type is Other Fund
	If other strategy selected, please describe		Alphanumeric characters, up to 300 alphanumeric characters	Conditionally Mandatory if Other Hedge Fund, Other Private Equity, Other Real Estate, Other Fund of Funds, Other

	Top five categories of assets in which AIF may invest	As per Article 3(3)c of Directive 2011/61/EU. This will appear as five drop-down lists.	Select from the drop-down list in the form. You can select up to five asset categories	Conditionally Mandatory if the offering document or an extract of the offering document is not provided
	Industrial, geographic or other market sectors or specific classes of assets which are the focus of the investment strategy	As per Article 3(3)c of Directive 2011/61/EU.	Alphanumeric characters, up to 130 alphanumeric characters	Conditionally Mandatory if the offering document or an extract of the offering document is not provided
	AIF borrowing or leverage policy	Provide information on the AIF Borrowing Leverage Policy as per Article 3(3)c of Directive 2011/61/EU.	Alphanumeric characters, up to 130 alphanumeric characters	Conditionally Mandatory if the offering document or an extract of the offering document is not provided
	Is any of the portfolio or risk management carried on by another entity?		Select 'yes' or 'no' from the drop down list or leave blank	Optional
	Does the AIF use a custodian?		Select 'yes' or 'no' from the drop down list or leave blank	Optional
	Does the AIF have an independent valuation agent?		Select 'yes' or 'no' from the drop down list or leave blank	Optional
	Does the AIF have an independent transfer agent?		Select 'yes' or 'no' from the drop down list or leave blank	Optional
	Does the AIF use any prime brokers?		Select 'yes' or 'no' from the drop down list or leave blank	Optional
	Does the AIF use independent auditors?		Select 'yes' or 'no' from the drop down list or leave blank	Optional
	Names of other entities marketing the AIF in the UK	Swift name is recommended	Alphanumeric characters, up to 130 alphanumeric characters	Optional
Declaration	Confirmation	'Yes' must be selected for all declarations before the form is submitted	Select 'yes' or 'no' from the drop-down list.	Mandatory
	Name	Name of the individual who is submitting the form	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Position	Job title of the individual who is submitting the form	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Date	Date on which the application form was signed.	Date	Mandatory

## Article 36 Notification

Section	Data Element	Description	Data Expected	Special Note
AIFM details	Legal Name	The full legal name of the AIFM as given in its incorporating documents or as modified thereafter	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Is the firm already authorised by or registered with the FCA?		Select 'yes' or 'no' from drop-down list	Mandatory
	National Code (for EEA Firm)	Unique firm identifier issued to the applicant AIFM by their national competent authority. Unregulated firms, please provide an alternative uniqueidentifier. Note: This is for non-UK AIFMs.	Alphanumeric characters, up to 30 alphanumeric characters.	Conditionally mandatory if AIFM is not FSMA authorised
	FRN (for UK AIFM)	Firm Reference Number issued by FCA if applicable.	Six or seven numeric characters or blank.	Conditionally mandatory if AIFM is FSMA authorised
	Home State	The name of the country where the AIFM is domiciled.	Select from the drop-down list.	Mandatory
	Statutory Authority	Statutory authority of the AIFM.	Select from the drop-down list.	Mandatory
Registered office details	Address Line 1	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Conditionally mandatory if AIFM is not FSMA authorised
	Address Line 2	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Postcode	Registered address	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	Country where the AIFM registered office is based.	Select from the drop-down list.	Conditionally mandatory if AIFM is not FSMA authorised
	Email	Email address of the registered office.	Alphanumeric characters, up to 200 alphanumeric characters	Conditionally mandatory if AIFM is not FSMA authorised
Contact details	First name(s)	First name(s) of the individual to be contacted regarding the notification form. The contact provided should be someone from the AIFM	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	Surname	Surname of the individual to be contacted regarding the notification form. The contact provided should be someone from the AIFM.	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	Address Line 1	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Mandatory
	Address Line 2	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional



	Postcode	Applicant's contact address	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	The name of the country where the applicant is based.	Select from the drop-down list.	Mandatory
	Email	Applicant's email address.	Alphanumeric characters, up to 200 alphanumeric characters	Mandatory
Invoice details (if different from registered details)	Address Line 1	If different from AIFM registered address.	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 2	If different from AIFM registered address.	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	If different from AIFM registered address.	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Post Code	If different from AIFM registered address.	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	If different from AIFM registered address.	Select from the drop-down list.	Optional
	Email	If different from AIFM registered address.	Alphanumeric characters, up to 200 alphanumeric characters	Optional
	AIF Legal Name	The AIF name that will be listed on the AIFMD NPP register. Swift name is recommended.	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	AIF ID code type	Type of ID code used as a reference for the AIF	Drop-down list: PRN AIF ISIN Code AIF National Code AIF LEI Code AIF CUSIP Code AIF SEDOL Code AIF Bloomberg Code AIF Reuters Code AIF ECB Code Other Not applicable	Mandatory
	Identification Code	Product Reference Number (PRN) issued by FCA, if applicable. Otherwise, please provide AIFM's own identification or reference number for the AIF.	For PRN, up to 7 numeric characters. Otherwise, up to 30 alphanumeric characters.	Mandatory unless the AIF ID code type is 'not applicable'
	Structure	If the AIF takes the form of an umbrella and you are notifying the marketing of one or more sub-funds, please select 'Sub-fund' and complete the next three fields with the umbrella details. If the AIF does not have an umbrella structure, please select 'Standalone AIF'.	Drop-down list: Standalone AIF Sub-fund	Mandatory
	Umbrella Legal Name	The name of the umbrella fund to which sub-fund belongs to.	Alphanumeric characters, up to 80 alphanumeric characters.	Conditionally Mandatory; if the structure is Sub-fund

AIF details	Umbrella ID Code Type	Type of ID code used as a reference for the AIF	Drop-down list: UK PRN AIF ISIN Code AIF National Code AIF LEI Code AIF CUSIP Code AIF SEDOL Code AIF Bloomberg Code AIF Reuters Code AIF ECB Code Other Not applicable	Conditionally Mandatory; if the structure is Sub-fund
	Umbrella Identification Code	Product Reference Number (UK PRN) issued by FCA, if applicable. Otherwise, please provide AIFM's own identification or reference number for the umbrella AIF.	For UK PRN, up to 7 numeric characters. Otherwise, up to 30 alphanumeric characters.	Conditionally Mandatory; if the Umbrella ID Code Type is other than 'Not Applicable'
	Domicile	The name of the country where the AIF is domiciled	Select from the drop-down list.	Mandatory
	AIF Primary Statutory Authority	The primary statutory authority of the AIF	Select from the drop-down list.	Mandatory
	Is the AIF an EEA feeder AIF?	Feeder AIFs are required to provide further information on the Master AIFs	Select 'yes' or 'no' from the drop-down list	Mandatory
	Legal Name of the Master AIF	The name of the master AIF of the feeder AIF. Field is mandatory if the AIF is an EEA feeder AIF. Swift name is recommended.	Alphanumeric characters, up to 80 alphanumeric characters	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Domicile of the Master AIF	The name of the Country where the Master AIF is domiciled.	Select from the drop-down list.	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Master AIF primary statutory authority	Primary statutory authority of the master AIF, if the AIF is a feeder AIF	Select from a drop-down list in the form	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Legal name of the AIFM of the master AIF	The name of the AIFM of the master AIF. Swift name is recommended.	Alphanumeric characters, up to 80 alphanumeric characters	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Domicile of the AIFM of the master AIF	The name of the country where the AIFM of the master AIF is domiciled.	Select from the drop-down list.	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Primary safekeeping entity / entities (up to two)	Identity of the entity performing safekeeping functions. Swift name is recommended. Up to two can be listed	Alphanumeric characters, up to 130 alphanumeric characters	Mandatory
	Safekeeping entity domicile	Name of the country where the entity performing cash monitoring functions is domiciled.	Select from a drop-down list in the form	Mandatory
Safekeeping entity FRN	FRN issued by FCA if this is available	Six or seven numeric characters or blank	Optional	

	Primary cash monitoring entity / entities (up to two)	Identity of the entity performing cash monitoring functions. Swift name is recommended. Up to two can be listed	Alphanumeric characters, up to 130 alphanumeric characters	Mandatory
	Cash monitoring entity domicile	Name of the country where the entity performing cash monitoring functions is domiciled.	Select from a drop-down list in the form	Mandatory
	Cash monitoring entity FRN	FRN issued by FCA if this is available	Six or seven numeric characters or blank	Optional
	Primary overseeing entity / entities (up to two)	Identity of the entity performing oversight functions. Swift name is recommended. Up to two can be listed	Alphanumeric characters, up to 130 alphanumeric characters	Mandatory
	Overseeing entity domicile	Name of the country where the entity performing oversight functions is domiciled.	Select from a drop-down list in the form	Mandatory
	Overseeing entity FRN	FRN issued by FCA if this is available	Six or seven numeric characters or blank	Optional
	Is any of the portfolio or risk management carried on by another entity?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Does the AIF have an independent valuation agent?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Does the AIF have an independent transfer agent?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Does the AIF use any prime brokers?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Does the AIF use independent auditors?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
Names of other entities marketing the AIF in the UK	Swift name is recommended.	Alphanumeric characters, up to 130 alphanumeric characters.	Optional	
Declaration	Confirmation	'Yes' must be selected for all declarations before the form is submitted	Select 'yes' or 'no' from the drop-down list.	Mandatory
	Name	Name of the individual who is submitting the form	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Position	Job title of the individual who is submitting the form	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Date	Date on which the application form was signed.	Date	Mandatory

## Article 42 Notification

Section	Data Element	Description	Data Expected	Special Note
AIFM details	Legal Name	The full legal name of the AIFM as given in its incorporating documents or as modified thereafter	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Is the firm already authorised by or registered with the FCA?		Select 'yes' or 'no' from drop-down list	Mandatory
	National Code	Unique firm identifier issued to the applicant AIFM by their national competent authority. Unregulated firms, please provide an alternative uniqueidentifier.	Alphanumeric characters, up to 30 alphanumeric characters.	Conditionally mandatory if AIFM is not FSMA authorised
	FRN	Firm Reference Number issued by FCA if applicable.	Six or seven numeric characters or blank.	Conditionally mandatory if AIFM is FSMA authorised
	Home State	The name of the country where the AIFM is domiciled.	Select from the drop-down list.	Mandatory
	Statutory Authority	Statutory authority of the AIFM.	Select from the drop-down list.	Mandatory
Registered office details	Address Line 1	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Conditionally mandatory if AIFM is not FSMA authorised
	Address Line 2	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	Registered address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Postcode	Registered address	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	Registered address	Select from the drop-down list.	Conditionally mandatory if AIFM is not FSMA authorised
	Email	Email address of the registered office.	Alphanumeric characters, up to 200 alphanumeric characters	Conditionally mandatory if AIFM is not FSMA authorised
Contact details	First name(s)	First name(s) of the individual to be contacted regarding the notification form. The contact provided should be someone from the AIFM	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	Surname	Surname of the individual to be contacted regarding the notification form. The contact provided should be someone from the AIFM.	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	Address Line 1	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Mandatory
	Address Line 2	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	Applicant's contact address	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Postcode	Applicant's contact address	Alphanumeric characters, up to 20 alphanumeric characters.	Optional

	Country	The name of the country where the applicant is based.	Select from the drop-down list.	Mandatory
	Email	Applicant's email address.	Alphanumeric characters, up to 200 alphanumeric characters	Mandatory
Invoice details (if different from registered details)	Address Line 1	If different from AIFM registered address.	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 2	If different from AIFM registered address.	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Address Line 3	If different from AIFM registered address.	Alphanumeric characters, up to 100 alphanumeric characters.	Optional
	Post Code	If different from AIFM registered address.	Alphanumeric characters, up to 20 alphanumeric characters.	Optional
	Country	If different from AIFM registered address.	Select from the drop-down list.	Optional
	Email	If different from AIFM registered address.	Alphanumeric characters, up to 200 alphanumeric characters	Optional
	AIF Legal Name	The AIF name that will be listed on the AIFMD NPP register. Swift name is recommended.	Alphanumeric characters, up to 80 alphanumeric characters.	Mandatory
	AIF ID code type	Type of ID code used as a reference for the AIF	Drop-down list: PRN AIF ISIN Code AIF National Code AIF LEI Code AIF CUSIP Code AIF SEDOL Code AIF Bloomberg Code AIF Reuters Code AIF ECB Code Other Not applicable	Mandatory
	Identification Code	Product Reference Number issued by FCA, if applicable. Otherwise, please provide AIFM's own identification or reference number for the AIF	For PRN, up to 7 numeric characters. Otherwise, up to 30 alphanumeric characters.	Mandatory unless the AIF ID code type is 'not applicable'
	Structure	If the AIF takes the form of an umbrella and you are notifying the marketing of one or more sub-funds, please select 'Sub-fund' and complete the next three fields with the umbrella details. If the AIF does not have an umbrella structure, please select 'Standalone AIF'.	Drop-down list: Standalone AIF Sub-fund	Mandatory
	Umbrella Legal Name	The name of the umbrella fund to which sub-fund belongs to.	Alphanumeric characters, up to 80 alphanumeric characters.	Conditionally Mandatory; if the structure is Sub-fund

AIF details	Umbrella ID Code Type	Type of ID code used as a reference for the AIF	Drop-down list: UK PRN AIF ISIN Code AIF National Code AIF LEI Code AIF CUSIP Code AIF SEDOL Code AIF Bloomberg Code AIF Reuters Code AIF ECB Code Other Not applicable	Conditionally Mandatory; if the structure is Sub-fund
	Umbrella Identification Code	Product Reference Number (UK PRN) issued by FCA, if applicable. Otherwise, please provide AIFM's own identification or reference number for the umbrella AIF.	For UK PRN, up to 7 numeric characters. Otherwise, up to 30 alphanumeric characters.	Conditionally Mandatory; if the Umbrella ID Code Type is other than 'Not Applicable'
	Domicile	The name of the country where the AIF is domiciled	Select from the drop-down list.	Mandatory
	Is the AIF a feeder AIF?	Feeder AIFs are required to provide further information on the Master AIFs	Select 'yes' or 'no' from the drop-down list	Mandatory
	Legal Name of the Master AIF	The name of the master AIF of the feeder AIF. Swift name is recommended.	Alphanumeric characters, up to 80 alphanumeric characters	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Domicile of the Master AIF	The name of the country where the Master AIF is domiciled.	Select from the drop-down list.	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Master AIF primary statutory authority	Primary statutory authority of the master AIF, if the AIF is a feeder AIF	Select from a drop-down list in the form. Please note that only statutory authorities where cooperation agreement is in place or pending are listed.	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Legal name of the AIFM of the master AIF	The name of the AIFM of the master AIF. Swift name is recommended.	Alphanumeric characters, up to 80 alphanumeric characters	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Domicile of the AIFM of the master AIF	The name of the country where the AIFM of the master AIF is domiciled.	Select from the drop-down list.	Conditionally Mandatory; if the stated AIF is an EEA feeder AIF
	Is any of the portfolio or risk management carried on by another entity?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Does the AIF use a custodian?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Does the AIF have an independent valuation agent?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
Does the AIF have an independent transfer agent?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional	

	Does the AIF use any prime brokers?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Does the AIF use independent auditors?		Select 'yes' or 'no' from drop-down list or leave blank.	Optional
	Names of other entities marketing the AIF in the UK	This is the name of any other entities marketing the AIF in the UK. Swift name is recommended.	Alphanumeric characters, up to 130 alphanumeric characters.	Optional
Declaration	Confirmation	Yes' must be selected for all declarations before the form is submitted	Select 'yes' or 'no' from the drop-down list.	Mandatory
	Name	Name of the individual who is submitting the form	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Position	Job title of the individual who is submitting the form	Alphanumeric characters, up to 130 alphanumeric characters.	Mandatory
	Date	Date on which the application form was signed.	Date	Mandatory