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[via email]

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Our Ref: FOI4275

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"The Regulatory Decisions Committee (RDC) reviews the FCA's proposals to issue warning and supervisory notices. I would like to know:

- (a) how many requests for warning notices from FSA/FCA staff the RDC has received per year over the last five years;*
- (b) how many of the notices in (a) were addressed to authorised persons;*
- (c) how many of the notices in (a) were addressed to individuals (natural persons) who were not authorised persons;*
- (d) how many of the notices in (b) were rejected outright; and*
- (e) how many of the notices in (c) were rejected outright."*

Your request has now been considered and the relevant information is contained in the table below:

| | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 |
|----|------|------|------|------|------|------|
| a) | 212 | 149 | 99 | 134 | 101 | 99 |
| b) | 162 | 110 | 78 | 115 | 86 | 71 |
| c) | 50 | 37 | 21 | 19 | 15 | 15 |
| d) | 0 | 1 | 0 | 0 | 0 | 2 |
| e) | 0 | 3 | 1 | 1 | 1 | 1 |

If you have any queries then please contact me.

Yours sincerely

Information Access Team