Telephone: 020 7066 8080 Email: foi@fca.org.uk

17 December 2014

Our Ref: FOI 3800

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information about:

"How many applications has the FCA received to be authorised and registered by the FCA from investment management firms since January 2014-to date? Can I also have the figures for the 2013?"

Your request has now been considered. We do not refer to Firms as Investment Management Firms per say, in our Management Information. We have therefore taken your request to mean the number of firms who have applied for the regulatory activity of 'Managing Investments'. We can confirm that the figures are:

2013 - 157 2014 - 223

Yours sincerely

Information Access Team