Telephone: 020 7066 8080 Email: foi@fca.org.uk

(By email)

9 September 2014

Our Ref: FOI3611

Dear

Freedom of Information : Right to know request

We refer to your request under the Freedom of Information Act 2000 (the Act), for information relating to the AIFMD National Private Placement Regime.

Your request has now been considered and the information is provided below.

"1. Could you please tell me how many notification forms the FCA has received to-date from non-EEA AIFMs to apply to market into the UK via the AIFMD National Private Placement Regime?

As at 26 August 2014 we had received 491 notification forms from non-EEA AIFMs to apply to market into the UK via the AIFMD National Private Placement Regime.

2. How many are applying using the Article 42 form and how many using the Small Third Country form?"

Article 42 form	374
Small Third Country form	117

Further information on this topic is available on our website at the following link:

http://www.fca.org.uk/firms/markets/international-markets/aifmd/nppr

Yours sincerely

Information Access Team Financial Conduct Authority