

Direct line:
Local fax:
Email:

By email

6th March 2014

Our Ref: FOI3340

Your Ref:

Dear

Freedom of Information request

Thank you for your clarified request under the Freedom of Information Act for information in relation to individuals.

We have considered your request, and can provide the information as follows (following your numbering):

- 1) *The number of investigations opened against individuals (between April 2012 to December 2013. Please provide a breakdown by quarter, or by month).*

Year/ Month	Number of Individuals
April 2012	5
May	1
June	1
July	8
August	3
September	3
October	6
November	12
December	2
2012 TOTAL	41
January 2013	2
February	1
March	2
April	7
May	3
June	0
July	8

August	1
September	7
October	4
November	9
December	4
2013 TOTAL	48
Grand Total	89

2) The value of financial penalties levied against individuals (between January 2010 to December 2013. Please provide a breakdown by quarter, or by month).

Year / Month	Amount of fines levied
January 2010	£26,000
February	£1,404,307
March	0
April	£1,325,041
May	£3,308,500
June	£500,957
July	£1,353,892
August	£81,500
September	£304,739
October	£480,746
November	£70,000
December	£990,000
2010 TOTAL	£9,845,682.00
January	£207,294
February	£174,500
March	0
April	£550,000
May	£1,097,900
June	£768,000
July	£52,500
August	£617,999
September	£2,116,000
October	£80,000
November	£4,482,947
December	£150,000
2011 TOTAL	£10,297,140.00
January	£6,814,000
February	£4,438,000
March	£4,014,200
April	0
May	£483,557
June	£1,405,500
July	£501,846
September	£1,350,000
October	£685,150
November	£154,800

December	£83,500
2012 TOTAL	£19,930,553.00
January	£1,822,237
February	0
March	£169,004
April	£13,300
May	£1,300,950.62
June	£1,008,277
July	£1,154,595
August	£215,673.5
September	£5,300,000
October	£1,605,000
November	£3,000,000
December	£30,000
2013 TOTAL	£15,619,037.12
GRAND TOTAL	£55,692,412.12

3) *The number of individuals from whom approval has been withdrawn (between January 2010 to December 2013. Please provide a breakdown by quarter, or by month).*

Year/ Month	Number of Withdrawn Approvals
January 2010	3
February	3
March	0
April	1
May	1
June	1
July	4
August	0
September	0
October	1
November	3
December	1
2010 TOTAL	18
January 2011	2
February	0
March	0
April	4
May	0
June	1
July	1
August	1
September	1
October	0
November	5

December	0
2011 TOTAL	15
January 2012	1
February	0
March	2
April	0
May	3
June	3
July	0
August	1
September	0
October	1
November	1
December	2
2012 TOTAL	14
January 2013	2
February	0
March	3
April	1
May	1
June	0
July	2
August	0
September	0
October	4
November	0
December	0
2013 TOTAL	13
GRAND TOTAL	60

4) *The number of individuals who have been served a prohibition order (between January 2010 to December 2013. Please provide a breakdown by quarter, or by month).*

Year/ Month	Number of Prohibitions
January 2010	5
February	4
March	1
April	15
May	8
June	15
July	13
August	6
September	5
October	7
November	3
December	14
2010 TOTAL	96

January 2011	12
February	4
March	1
April	11
May	4
June	3
July	3
August	7
September	5
October	7
November	6
December	3
2011 TOTAL	66
January 2012	5
February	0
March	11
April	1
May	5
June	9
July	6
August	1
September	7
October	7
November	7
December	7
2012 TOTAL	66
January 2013	15
February	2
March	6
April	6
May	6
June	4
July	6
August	2
September	8
October	11
November	2
December	4
2013 TOTAL	72
GRAND TOTAL	300

I trust this satisfies your request.

Yours sincerely

Information Access Team