

---

## FINAL NOTICE

---

**To:** John Edward Duncan Field

**Of:** Prisoner Number A3164DK  
HMP Lewes  
1 Brighton Road  
East Sussex  
BN7 1EA

**Individual Reference Number:** JEF00017

**Dated:** 14 July 2015

### **ACTION**

1. For the reasons set out in this Final Notice, the Authority hereby takes the following action against Mr Field.
2. The Authority gave Mr Field the Decision Notice, which notified Mr Field that, for the reasons given below and pursuant to section 56 of the Act, the Authority had decided to make an order prohibiting him from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm.
3. Mr Field has not referred the matter to the Tribunal within 28 days of the date on which the Decision Notice was given to him.
4. Accordingly, for the reasons set out below, the Authority hereby makes an order pursuant to section 56 of the Act prohibiting Mr Field from performing any function in relation to any regulated activity carried on by any authorised person,

/cont...

exempt person or exempt professional firm. The Prohibition Order takes effect from 14 July 2015.

## **DEFINITIONS**

5. The definitions below are used in this Final Notice:

“the Act” means the Financial Services and Markets Act 2000;

“the Authority” means the body corporate previously known as the Financial Services Authority and renamed on 1 April 2013 as the Financial Conduct Authority;

“the Decision Notice” means the Decision Notice given to Mr Field dated 10 June 2015;

“Mr Field” means John Edward Duncan Field;

“the Prohibition Order” means the order prohibiting Mr Field, pursuant to section 56 of the Act, from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm; and

“the Tribunal” means the Upper Tribunal (Tax and Chancery Chamber).

## **REASONS FOR THE ORDER**

6. The Authority has concluded, on the basis of the facts and matters and conclusions described in the Warning Notice issued to Mr Field on 11 May 2015, and in the Decision Notice, that Mr Field is not a fit and proper person to perform any functions as his conduct demonstrates a clear and serious lack of honesty, integrity and reputation. Specifically on 9 January 2015 Mr Field was convicted of six counts of theft, six counts of fraud by abuse of position and one count of fraud, for which he was sentenced to 56 months’ imprisonment for each offence, to run concurrently.

## **DECISION MAKER**

7. The decision which gave rise to the obligation to give this Final Notice was made by the Regulatory Decisions Committee.

## **IMPORTANT**

8. This Final Notice is given to Mr Field in accordance with section 390(1) of the Act.

## **PUBLICITY**

9. The Authority must publish such information about the matter to which this Final Notice relates as the Authority considers appropriate. The information may be published in such manner as the Authority considers appropriate. However, the Authority may not publish information if such publication would, in the opinion of the Authority, be unfair to Mr Field or prejudicial to the interest of consumers.

10. The Authority intends to publish this Final Notice and such information about the matter to which this Final Notice relates as it considers appropriate.

**AUTHORITY CONTACT**

11. For more information concerning this matter generally, please contact Adam Doe at the Authority (direct line: 020 7066 5522).

**John Kirby**  
**Enforcement and Market Oversight Division**