

IMPORTANT



Dear CRD IV firms,

The CRD IV rules came into force on 1 January 2014 and firms' first reporting becomes due after March 2014. To ensure your software is compatible, we are opening a test environment so you can test your CRD IV submissions. This exercise is to test the technical construction of your submission therefore we are treating all data as test data so the validity of its use for supervisory purposes will not be considered. A successful submission does not offer any guarantees that a firm is CRD IV compliant.

Targeted support: testing your reporting

The test system will be available from **14th February**. Given that this is a test phase with a limited time period we cannot foresee the number of issues and queries that may arise. We are therefore offering targeted support to firms that show an interest on a first come first served basis.

If you would like support from us, please respond to GABRIEL.TEST@fca.org.uk providing the information below. This will help us build your profile and assess what the cause of an issue is and will be for internal use only. It will also enable us to check that the desired items exist on the schedule or whether it needs to be created manually which we will endeavour to create beforehand.

FIRM TO BE USED	FIRM USER FOR PROFILE SET UP	XBRL SOFTWARE	DATA TO BE SUBMITTED	HOW DATA WAS CRAFTED
FRN and Name	Name and email address	In-house: using XBRL engine or not, ISV or third party tool etc.	COREP Solo, LE Consolidated etc.	Crafted to get through validations; firm data etc.

Validation rules

Please note that the FCA and PRA are currently investigating and working with the EBA on a number of suspected validation errors built into the Taxonomy. Due to these issues we are currently treating all validations as 'warnings' as opposed to hard failures, therefore a failed validation rule will not result in a rejected file by the test system.

The first 50 validation 'warnings' will still be returned to the user in line with the expected behaviour of our live system.

Where you have the ability to do so, we recommend that all firms take the same approach and switch off or suppress/ignore the validation rules in order to be able to submit the returns.

Technical Update

Refer to our filing guide (([URL](#)), note it will be published next week under the COREP/FINREP link under the "Data Item Individual Guides" section) for further information on how to complete and upload your submissions. Please also refer to the EBA XBRL Filing rules for Taxonomy v2.0.0 (([URL](#)), note Filing rules for Taxonomy v2.0.1 have not been published yet)).

Test environment for all CRD IV firms

The test environment is open to all CRD IV firms. However, as we are still performing internal testing, at various points we will need to close the test environment and this will be shown via a message in the environment. Should you attempt to test and see this message, we would kindly request for you to try again in a few hours.

Please take note of the following, if you want to take advantage of the test environment:

- Initially only the web upload option will be available via this [URL](#).
- Depending on time, we will open up the direct communications channel and we will inform you by email.
- Submissions must be a valid XBRL file (with a .XBRL extension) conforming to the EBA's published entry points with your FRN in the identifier field. Files cannot be larger than 10MB. If a file exceeds this size, you must compress it to a gzip file before attempting to upload it.
- A file must contain at least one filing indicator and be for a single reporting currency of the seven currently accepted in GABRIEL.
- The system will initially have a date of 1 October 2014 so firms will be able to submit reports for that period. You will need to be mindful:
 - the reporting date within the file must be as per your schedules;
 - as our systems are not currently set up to support LCR and NSFR data, you will need to tell us if you wish to submit files for these entry points; note that the scope of this testing is restricted to the COREP taxonomy only (v2.0.1); and
- if you identify any submissions you would like to test that are not on the schedule, please contact GABRIEL.TEST@fca.org.uk.
- The availability of the test environment is as per the service that is currently available to firms.

The environments and support teams will only be available between 9am-5pm UK time Monday-Friday.

Firms are welcome to submit returns at other times subject to the environment's availability however no support will be provided. Production levels of security apply so firms can submit real data where they are unable to submit test data, but we would advise against this.

If you are unclear about what entry points to use, please refer to the EBA's [XBRL taxonomy](#).

Questions or errors

If you have any issues with accessing the test environment, your schedule or other problems with your user permissions, please contact GABRIEL.Queries@fca.org.uk. For any other errors or issues, please contact COREP.Queries@fca.org.uk.

All emails must contain a subject prefixed with “CRDIV PILOT” followed by your FRN. If you receive an error when submitting, please share this with your software provider as it may be unrelated to the FCA portal or taxonomy.

We apologise for the short time-frame allowed for this exercise and the delays in communicating with firms. We are endeavouring to help firms and further communications will be forthcoming with regards to treatment of initial submissions while this new regime beds down.

We will publish a list of any known issues on the CRD IV web pages to help firms and vendors mitigate issues, prior to go-live. We will also consider providing additional support based on what we observe during this exercise.

Related Links

www.fca.org.uk