



BANK OF ENGLAND  
PRUDENTIAL REGULATION  
AUTHORITY

# Release Handbook Summary

## Release 138

This release contains pages to be inserted into your paper version of the Handbook in order to bring it up to date. These changes came into force between 7 May 2013 and 6 June 2013.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules.

Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the Handbook website, <http://fshandbook.info/FS/InstrumentsByDate.jsp>. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch=>.

Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
	Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
<b>GLOSSARY (last updated in Release 137)</b>						
F		F9 – F14	3	F9 – F14	3	Minor and non-legal change 23 May 2013

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
<b>HIGH LEVEL STANDARDS</b>							
<b>TC: TRAINING AND COMPETENCE (last updated in Release 137)</b>							
Chapter 1	App 4	17 – 26	5	17 – 26	5	Minor and non-legal change	23 May 2013
<b>FEES: FEES MANUAL (last updated in Release 137)</b>							
Chapter 6	Annex 3 †	Entire Ann: 1 – 2	1	Entire Ann: 1 – 6	3	N/A	N/A
<b>PRUDENTIAL STANDARDS</b>							
<b>BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 137)</b>							
Chapter 10	10.6.32	25 – 26	1	25 – 26	1	Minor and non-legal change	23 May 2013
Chapter 12	12.1.4; 12.6.6A	1 – 2 39 – 40	1 1	1 – 2 39 – 40	1 1	Minor and non-legal change	23 May 2013
<b>INSPRU: PRUDENTIAL SOURCEBOOK FOR INSURERS (last updated in Release 137)</b>							
Chapter 6	6.1.45	15 – 16	1	15 – 16	1	Minor and non-legal change	23 May 2013
<b>IPRU-INV: INTERIM PRUDENTIAL SOURCEBOOK FOR INVESTMENT BUSINESSES (last updated in Release 136)</b>							
Chapter 13	13.9.1A	29 – 50	11	29 – 50	11	Minor and non-legal change	23 May 2013

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
<b>BUSINESS STANDARDS</b>							
<b>COBS: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 137)</b>							
Chapter 11	11.6.17	27 – 28	1	27 – 28	1	Minor and non-legal change	23 May 2013
<b>REGULATORY PROCESSES</b>							
<b>SUP: SUPERVISION (last updated in Release 137)</b>							
Chapter 13	13.7.11-12; 13.8.2	23 – 26	2	23 – 26	2	Minor and non-legal change	23 May 2013
	Annex 7**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	Minor and non-legal change	23 May 2013
<b>SPECIALIST SOURCEBOOKS</b>							
<b>REC: RECOGNISED INVESTMENT EXCHANGES (last updated in Release 137)</b>							
Chapter 4	4.6A.1	19 – 20	1	19 – 20	1	Minor and non-legal change	23 May 2013

† This Annex was erroneously treated as Deleted, but remains in force for PRA-regulated firms. It is being restored to the print edition by this release.

\* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

\*\* *N.B: This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form:*

<http://fshandbook.info/FS/usefullinks/forms.jsp>

\*\*\* *N.B: This annex/appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.*