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|  | Temporary Permissions Regime  Electronic Money Institutions Agent Annex |

**1.1 Agent Details**

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|  |  |
| --- | --- |
| **Name of agent** | **Address of agent** |
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**1.2 Have you provided your home state competent authority with the electronic money redemption, distribution or payment services which the agent(s) are appointed to provide in the United Kingdom?**

Yes

No⏵Give details of the electronic money redemption, distribution or payment services which the agent is appointed to provide

|  |
| --- |
|  |

**1.3 Have you provided your home state competent authority with the most recent information on internal control mechanisms that will be used by the agent(s) to comply with the Money Laundering Regulations 2017?**

Yes

No⏵Give a description below of the internal control mechanisms or attach

to your notification.

Attached

|  |
| --- |
|  |

**1.4 Have you provided your home state competent authority with the most recent information on the directors and persons responsible for the management of the agent(s) and provided evidence that they are fit and proper persons.**

Yes

No⏵Give details of the directors and persons

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Title | First Name | Surname | Job Title | Date of Birth  (dd/mm/yyyy) | Fit and Proper person? |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |
|  |  |  |  |  | Yes  No |

Branches

**1.5 Do you use, or intend to use a branch in the United Kingdom to provide the services?**

No⏵Continue to Question 1.7

Yes

**1.6 Have you provided your home state competent authority with the most recent information on the names of those responsible for the management of the branch and details of its organisational structure?**

Yes

No⏵Give the names of those responsible below or attach to your notification

Attached

|  |
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|  |

Distributors

**1.7 Do you have distributors who have engaged, or intend to engage, to distribute or redeem electronic money in exercise of the person’s rights by virtue of the person’s transitional authorisations?**

No⏵Continue to Question 1.10

Yes

**1.8 Have you provided your home state competent authority with information of these distributors?**

Yes⏵Continue to Question 1.10

No

**1.9 Provide details of the distributors**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Title** | **First Name** | **Last Name** | **Job Title** | **Date of Birth** |
|  |  |  |  |  |
|  |  |  |  |  |
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Restrictions and Measures

**1.10 Do you have any restrictions or conditions that have been imposed on you by your home state competent authority?**

No

Yes⏵Give details of these restrictions or conditions

|  |
| --- |
|  |

**1.11 Do you have any precautionary measures taken by the Financial Conduct Authority under regulation 30(8) of Electronic Money Regulations 2011 that are in force**

No

Yes⏵Give details of these precautionary measures

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| --- |
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